

# SUMMIT SECURITIES LIMITED

Corporate Identification Number: L65921MH1997PLC194571

Registered Office: 213, Bezzola Complex, B Wing, 71, Sion-Trombay Road, Chembur, Mumbai - 400071

Tel No.: +91-22-25292152/53/54 Fax No: +91-22-25297423

Website : www.summitsecurities.net Email : summitsec@gmail.com

REF. No. : RPG/CORPSEC/ /2014-15

January 15, 2015

<b>National Stock Exchange of India Limited</b> Exchange Plaza, 5 <sup>th</sup> Floor Plot No C/1, G Block Bandra Kurla Complex Bandra East Mumbai 400 051	<b>BSE Limited</b> Phiroze Jeejeebhoy Towers Dalal Street, Fort Mumbai 400 001
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## Sub: Report under Clause 35 of the Listing Agreement

Dear Sir,

Pursuant to Clause 35 of the Listing Agreement entered into with the Stock Exchanges, we enclose herewith the shareholding pattern of the company for the quarter ended December 31, 2014.

Kindly acknowledge receipt.

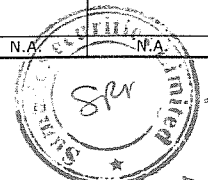
Thanking You,

Yours faithfully,  
For **Summit Securities Limited**

*S. R. Joshi*  
**Shruti Joshi**  
**Manager and Company Secretary**  
Encl.: As stated above

(I) (a) Statement showing Shareholding Pattern

Name of the Company:		SUMMIT SECURITIES LIMITED						
Scrip Code, Name of the scrip, class of security:		BSE: 533306/ NSE: SUMMITSEC						
Quarter ended:		31st Dec,2014						
<b>Partly paid-up shares:-</b>		<b>No. of partly paid-up shares</b>	<b>As a % of total no. of partly paid-up shares</b>		<b>As a % of total no. of shares of the company</b>			
Held by Promoter / Promoters Group		---	---		---			
Held by public		---	---		---			
<b>Total</b>		---	---		---			
<b>Outstanding convertible securities:-</b>		<b>No. of outstanding securities</b>	<b>As a % of total No. of outstanding convertible securities</b>		<b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b>			
Held by Promoter / Promoters Group		---	---		---			
Held by public		---	---		---			
<b>Total</b>		---	---		---			
<b>Warrants:-</b>		<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>		<b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>			
Held by Promoter / Promoters Group		---	---		---			
Held by public		---	---		---			
<b>Total</b>		---	---		---			
<b>Total paid-up capital of the company assuming full conversion of warrants and convertible securities</b>		---						
Category code	Category of Shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialised form	Total Shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
(I)	(II)	(III)	(IV)	(V)	As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (VIII)/(IV)* 100
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group</b>							
<b>(1)</b>	<b>Indian</b>							
(a)	Individuals / Hindu Undivided Family	4	3682	3682	0.03	0.03	0	0.00
(b)	Central Government / State Governments(s)	0	0	0	0.00	0.00	-	-
(c)	Bodies Corporate	7	7649479	7649479	70.17	70.17	-	-
(d)	Financial Institutions / Banks	0	0	0	0.00	0.00	-	-
(e)	Any Other	2	245458	245458	2.25	2.25	-	-
	<b>Sub-Total (A) (1)</b>	<b>13</b>	<b>7898619</b>	<b>7898619</b>	<b>72.45</b>	<b>72.45</b>	<b>0</b>	<b>0.00</b>
<b>(2)</b>	<b>Foreign</b>							
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0.00	0.00	-	-
(b)	Bodies Corporate	0	0	0	0.00	0.00	-	-
(c)	Institutions	0	0	0	0.00	0.00	-	-
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00	-	-
(e)	Any Other (specify)	0	0	0	0.00	0.00	-	-
	<b>Sub-Total (A) (2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>	<b>13</b>	<b>7898619</b>	<b>7898619</b>	<b>72.45</b>	<b>72.45</b>	<b>0</b>	<b>0.00</b>
<b>(B)</b>	<b>Public Shareholding</b>						N.A.	N.A.
<b>(1)</b>	<b>Institutions</b>							
(a)	Mutual Funds / UTI	22	87,064	86,695	0.80	0.80	-	-
(b)	Financial Institutions / Banks	57	899	461	0.01	0.01	-	-
(c)	Central Government / State Government	2	538	0	0.00	0.00	-	-
(d)	Venture Capital Funds	0	0	0	0.00	0.00	-	-
(e)	Insurance Companies	8	383,440	383,435	3.52	3.52	-	-
(f)	Foreign Institutional Investors	32	1,094	143	0.01	0.01	-	-
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	-	-
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	-	-
(i)	Any Other	0	0	0	0.00	0.00	-	-
	<b>Sub-Total (B) (1)</b>	<b>121</b>	<b>473035</b>	<b>470734</b>	<b>4.34</b>	<b>4.34</b>	<b>N.A.</b>	<b>N.A.</b>
<b>(2)</b>	<b>Non-Institutions</b>							
(a)	Bodies Corporate	854	540,164	534,632	4.95	4.95	-	-
(b)	Individuals -							
i	Individual shareholders holding nominal share capital upto Rs. 1 lakh	70,238	1,510,537	1,396,764	13.86	13.86	-	-
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	7	354,239	354,239	3.25	3.25	-	-
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	-	-
(d)	Any Other							
i	Trusts	11	229	229	0.00	0.00	-	-
ii	Unclaimed Securities Suspense	1	25,673	0	0.24	0.24	-	-
iii	Directors & Relatives	2	101	0	0.00	0.00	-	-
iv	Foreign Company	5	99,184	62	0.91	0.91	-	-
	<b>Sub-total (B) (2)</b>	<b>71118</b>	<b>2530127</b>	<b>2285926</b>	<b>23.21</b>	<b>23.21</b>	<b>-</b>	<b>-</b>
	<b>Total Public Shareholding (B) = (B)(1)+(B)(2)</b>	<b>71239</b>	<b>3003162</b>	<b>2756660</b>	<b>27.55</b>	<b>27.55</b>	<b>N.A.</b>	<b>N.A.</b>
	<b>TOTAL (A)+(B)</b>	<b>71252</b>	<b>10901781</b>	<b>10655279</b>	<b>100.00</b>	<b>100.00</b>	<b>N.A.</b>	<b>N.A.</b>
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>-</b>	<b>-</b>
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>71252</b>	<b>10901781</b>	<b>10655279</b>	<b>100.00</b>	<b>100.00</b>	<b>N.A.</b>	<b>N.A.</b>



Or

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Name of the Company : Summit Securities Limited  
Scrip Code : BSE: 533306/ NSE: SUMMITSEC  
31st Dec -2014

<b>(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"</b>											
Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of Shares held		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number	As a % of grand total (A)+(B)+(C)	No.	As a percentage (VI) = (V) / (III)* 100	As a % of grand total (A) + (B) + (C) of sub-clause (I)(a) (VII)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held (X)	As a % total number of convertible securities of the same class (XI)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	ANANT VARDHAN GOENKA	1,318	0.01			NIL	-	-	-	-	0.01
2	HARSH VARDHAN GOENKA	653	0.01			NIL	-	-	-	-	0.01
3	HARSHVARDHAN GOENKA	1,500	0.01			NIL	-	-	-	-	0.01
4	RAMA PRASAD GOENKA	211	0.00			NIL	-	-	-	-	0.00
5	SURESH MATHEW (Trustee, RIFL Benefit Trust)	245,455	2.25			NIL	-	-	-	-	2.25
6	HARSH VARDHAN GOENKA (Trustee, Monitor Portfolio Trust)	3	0.00			NIL	-	-	-	-	0.00
7	CARNI WAL INVESTMENTS LTD	179,708	1.65			NIL	-	-	-	-	1.65
8	CARNI WAL INVESTMENTS LTD	174,451	1.60			NIL	-	-	-	-	1.60
9	JUBILEE INVESTMENTS INDUSTRIES LTD*	2,285	0.02			NIL	-	-	-	-	0.02
10	RPG CELLULAR INVESTMENTS AND HOLDINGS PVT LTD**	290,799	2.67			NIL	-	-	-	-	2.67
11	STEL HOLDINGS LIMITED	69,815	0.64			NIL	-	-	-	-	0.64
12	SWALLOW ASSOCIATES LLP	4,387,152	40.24			NIL	-	-	-	-	40.24
13	SWALLOW ASSOCIATES LLP	2,545,269	23.35			NIL	-	-	-	-	23.35
	<b>TOTAL</b>	<b>7,898,619</b>	<b>72.45</b>			<b>NIL</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>72.45</b>

\* Jubilee Investments & Industries Limited merged with RPG Cellular Investments and Holdings Private Limited (RCIHL) pursuant to the Scheme of Amalgamation between RPG Communication & Holdings Private Limited, KTL Industrial Finance Company Limited, Kocilim Breweries Private Limited, Yield Investments Private Limited and Jubilee Investments & Industries Limited with RCIHL duly sanctioned by the Honorable High Court of Calcutta on June 11, 2009. RCIHL merged with Swallow Associates Limited (SAL) pursuant to the Scheme of Amalgamation and Arrangement between SAL and Blue Niles Holdings Limited, South Asia Electricity Holdings Limited, Kestral Investments Limited, Petrochem International Limited and RCIHL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and now is known as Swallow Associates LLP.

\*\*RPG Cellular Investments & Holdings Pvt. Ltd. merged with Swallow Associates Limited (SAL) pursuant to the Scheme of Amalgamation and Arrangement between SAL and Blue Niles Holdings Limited, South Asia Electricity Holdings Limited, Kestral Investments Limited, Petrochem International Limited and RCIHL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and now is known as Swallow Associates LLP.

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

**(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares**

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	LIFE INSURANCE CORPORATION OF INDIA	297,147	2.73	-	-	-	-	2.73
2	LIFE INSURANCE CORPORATION OF INDIA	4	0.00	-	-	-	-	0.00
3	LIFE INSURANCE CORPORATION OF INDIA	1	0.00	-	-	-	-	0.00
4	GLOBE CAPITAL MARKET LTD	185,163	1.70	-	-	-	-	1.70
5	GLOBE CAPITAL MARKET LTD	515	0.00	-	-	-	-	0.00
6	Muckatira Bhemaiah Chinappa	154,237	1.41	-	-	-	-	1.41
7	CHINAPPA M B	31,756	0.29	-	-	-	-	0.29
8	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	156,500	1.44	-	-	-	-	1.44
	<b>TOTAL</b>	<b>825,323</b>	<b>7.57</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>7.57</b>

**(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company**

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a %
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	N.A.							
	<b>TOTAL</b>							

**(I) (d) Statement showing details of locked-in-shares**

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage	Promoter / Promoter Group /
1	N.A.			
	<b>TOTAL</b>			

**(II) (a) Statement showing details of Depository Receipts (DRs)**

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of Shares	Shares underlying outstanding DRs as a
1	N.A.			
2				
	<b>TOTAL</b>			

**(II) (b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by 'Promoter / Promoter Group' are in excess of 1% of the total number of shares**

Sr. No.	Name of the DR Holder	Type of outstanding DR	Number of Shares	Shares underlying outstanding DRs as a
1	N.A.			
2				
	<b>TOTAL</b>			



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**(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares / securities is issued by the issuer.**

Category code (I)	Category of Shareholder (II)	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V) (VI)	Total Voting Rights i.e. (VI)	
		Class X (III)	Class Y (IV)	Class Z (V)		As a percentage of (VII)	As a percentage of (A+B+C) (VIII)
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group</b>						
<b>(1)</b>	<b>Indian</b>		NA	NA			
(a)	Individuals / Hindu Undivided Family	3682			3682	0.03	0.03
(b)	Central Government / State Governments(s)	0			0	0.00	0.00
(c)	Bodies Corporate	7649479			7649479	70.33	70.33
(d)	Financial Institutions / Banks	0			0	0.00	0.00
(e)	Any Other (Trusts)	245458			245458	2.26	2.26
	<b>Sub-Total (A) (1)</b>	<b>7898619</b>	<b>0</b>	<b>0</b>	<b>7898619</b>	<b>72.62</b>	<b>72.62</b>
<b>(2)</b>	<b>Foreign</b>		NA	NA			
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0			0	0.00	0.00
(b)	Bodies Corporate	0			0	0.00	0.00
(c)	Institutions	0			0	0.00	0.00
(d)	Qualified Foreign Investor	0			0	0.00	0.00
(e)	Any Other (specify)	0			0	0.00	0.00
	<b>Sub-Total (A) (2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>	<b>7898619</b>	<b>0</b>	<b>0</b>	<b>7898619</b>	<b>72.62</b>	<b>72.62</b>
<b>(B)</b>	<b>Public Shareholding</b>		NA	NA			
<b>(1)</b>	<b>Institutions</b>						
(a)	Mutual Funds / UTI	87064			87064	0.80	0.80
(b)	Financial Institutions / Banks	899			899	0.01	0.01
(c)	Central Government / State Governments(s)	538			538	0.00	0.00
(d)	Venture Capital Funds	0			0	0.00	0.00
(e)	Insurance Companies	383440			383440	3.53	3.53
(f)	Foreign Institutional Investors	1094			1094	0.01	0.01
(g)	Foreign Venture Capital Investors	0			0	0.00	0.00
(h)	Qualified Foreign Investor	0			0	0.00	0.00
(i)	Any Other (specify)	0			0	0.00	0.00
	<b>Sub-Total (B) (1)</b>	<b>473035</b>	<b>0</b>	<b>0</b>	<b>473035</b>	<b>4.35</b>	<b>4.35</b>
<b>(2)</b>	<b>Non-Institutions</b>		NA	NA			
(a)	Bodies Corporate	540164			540164	4.97	4.97
(b)	Individuals -						
i	Individual shareholders holding nominal share capital upto Rs. 1 lakh	1510537			1510537	13.89	13.89
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	354239			354239	3.26	3.26
(c)	Qualified Foreign Investor	0			0	0.00	0.00
(d)	Any Other (specify)						
i	Trusts	229			229	0.00	0.00
ii	Directors & their relatives	101			101	0.00	0.00
iii	Foreign Company	99184			99184	0.91	0.91
	<b>Sub-total (B) (2)</b>	<b>2504454</b>	<b>0</b>	<b>0</b>	<b>2504454</b>	<b>23.03</b>	<b>23.03</b>
	<b>Total Public Shareholding (B) = (B)(1)+(B)(2)</b>	<b>2977489</b>	<b>0</b>	<b>0</b>	<b>2977489</b>	<b>27.38</b>	<b>27.38</b>
	<b>TOTAL (A)+(B)</b>	<b>10876108</b>	<b>0</b>	<b>0</b>	<b>10876108</b>	<b>100.00</b>	<b>100.00</b>
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	NA	NA	0	0.00	0.00
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>10876108</b>	<b>0</b>	<b>0</b>	<b>10876108</b>	<b>100.00</b>	<b>100.00</b>

As 25673 shares are transferred to Unclaimed and Suspense account and do not carry voting rights as per Clause 5A of the Listing Agreement, the voting rights has been calculated on the reduced capital.



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