

SUMMIT SECURITIES LIMITED

Corporate Identification Number: L65921MH1997PLC194571

Registered Office: 213, Bezzola Complex, B Wing, 71, Sion-Trombay Road, Chembur, Mumbai - 400071

Tel No.: +91-22-25292152/54/55 Fax No: +91-22-25297423

Website : www.summitsecurities.net Email : summitsec@gmail.com

Ref.No.: RPG/CORPSEC/ /2015-16

October 13, 2015

National Stock Exchange of India Limited Exchange Plaza, 5 th Floor Plot No C/1, G Block Bandra Kurla Complex Bandra East Mumbai 400 051	BSE Limited Phiroze Jeejeebhoy Towers Dalal Street, Fort Mumbai 400 001
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Sub: Report under Clause 35 of the Listing Agreement

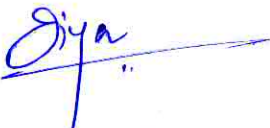
Dear Sir,

Pursuant to Clause 35 of the Listing Agreement entered into with the Stock Exchanges, we enclose herewith the shareholding pattern of the company for the quarter ended September 30, 2015.

Kindly acknowledge receipt.

Thanking You,

Yours faithfully,
For Summit Securities Limited



Jiya Gangwani
Company Secretary

Encl.: As above

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(I) (a) Statement showing Shareholding Pattern

Name of the Company:		SUMMIT SECURITIES LIMITED	
Scrip Code, Name of the scrip, class of security:		BSE:533306/NSE:SUMMITSEC	
Quarter ended:		September 30, 2015	
Partly paid-up shares:-		No. of partly paid-up shares	As a % of total no. of partly paid-up shares
Held by Promoter / Promoters Group		Nil	Nil
Held by public		Nil	Nil
Total		NIL	NIL
Outstanding convertible securities:-			
Held by Promoter / Promoters Group		Nil	Nil
Held by public		Nil	Nil
Total		NIL	NIL
Warrants:-		No. of warrants	As a % of total no. of warrants
Held by Promoter / Promoters Group		Nil	Nil
Held by public		Nil	Nil
Total		NIL	NIL
Total paid-up capital of the company assuming full conversion of warrants and convertible securities		10901781 fully paid up equity shares of Rs. 10/- each	

Category code	Category of Shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialised form	Total Shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (VIII)/(IV) *100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Shareholding of Promoter and Promoter Group							
(1)	Indian							
(a)	Individuals / Hindu Undivided Family	4	3682	3682	0.03	0.03	0	0.00
(b)	Central Government / State Governments(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	5	7649479	7649479	70.17	70.17	0	0.00
(d)	Financial Institutions / Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Other (Trusts)	2	245458	245458	2.25	2.25	0	0.00
	Sub-Total (A) (1)	11	7898619	7898619	72.45	72.45	0	0.00
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(c)	Institutions	0	0	0	0.00	0.00	0	0.00
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(e)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
	Sub-Total (A) (2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	11	7898619	7898619	72.45	72.45	0	0.00
(B)	Public Shareholding							
(1)	Institutions							
(a)	Mutual Funds / UTI	21	85,897	85,528	0.79	0.79	0	0.00
(b)	Financial Institutions / Banks	57	899	461	0.01	0.01	0	0.00
(c)	Central Government / State Governments(s)	2	538	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
(e)	Insurance Companies	8	3,83,440	3,83,435	3.52	3.52	0	0.00
(f)	Foreign Institutional Investors	31	958	7	0.01	0.01	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(i)	Any Other	0	0	0	0.00	0.00	0	0.00
(i-i)	Foreign Nationals-DR	10	80	80	0.00	0.00	0	0.00
	Sub-Total (B) (1)	129	471812	469511	4.33	4.33	0	0.00
(2)	Non-Institutions							
(a)	Bodies Corporate	762	5,52,168	5,50,140	5.06	5.06	0	0.00
(b)	Individuals -							
i	Individual shareholders holding nominal share capital upto Rs. 1 lakh	66,450	13,51,739	12,45,604	12.40	12.40	0	0.00
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	12	5,02,318	5,02,318	4.61	4.61	0	0.00
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(d)	Any Other (Trusts)	12	245	245	0.00	0.00	0	0.00
i	Unclaimed Securities Suspense Account	1	25,595	25,595	0.24	0.24	0	0.00
ii	(Directors & Relatives)	2	101	0	0.00	0.00	0	0.00
iii	(Foreign Company)	5	99,184	62	0.91	0.91	0	0.00
	Sub-total (B) (2)	67244	2531350	2323964	23.22	23.22	0	0.00
	Total Public Shareholding (B) = (B)(1)+(B)(2)	67373	3003162	2793475	27.55	27.55	0	0.00
	TOTAL (A)+(B)	67384	10901781	10692094	100.00	100.00	0	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0.00	0.00	0	0.00
	GRAND TOTAL (A)+(B)+(C)	67384	10901781	10692094	100.00	100.00	0	0.00



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Name of the Company : Summit Securities Limited
Scrip Code : BSE: 533306 / NSE: SUMMITSEC
September 30, 2015

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of Shares held		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number (III)	As a % of grand total (A)+(B)+(C) (IV)	No. (V)	As a percentage (VI) = (V) / (III)* 100	As a % of grand total (A) + (B) + (C) of sub-clause (I)(a) (VII)	Number of warrants held (VIII)	As a % total number of warrants of the same class (IX)	Number of convertible securities held (X)	As a % total number of convertible securities of the same class (XI)	
1	ANANT VARDHAN GOENKA	1,318	0.01		NIL		-	-	-	-	0.01
2	HARSH VARDHAN GOENKA	653	0.01		NIL		-	-	-	-	0.01
3	HARSHVARDHAN GOENKA	1,500	0.01		NIL		-	-	-	-	0.01
4	HARSHVARDHAN GOENKA (Trustee, Monitor Portfolio Trust)	3	0.00		NIL		-	-	-	-	0.00
5	RAMA PRASAD GOENKA	211	0.00		NIL		-	-	-	-	0.00
6	SURESH MATHEW (Trustee, RIFL Benefit Trust)	2,45,455	2.25		NIL		-	-	-	-	2.25
7	CARNIVAL INVESTMENTS LTD #	3,54,159	3.25		NIL		-	-	-	-	3.25
8	STEL HOLDINGS LIMITED	69,815	0.64		NIL		-	-	-	-	0.64
9	JUBILEE INVESTMENTS INDUSTRIES LTD**	2,285	0.02		NIL		-	-	-	-	0.02
10	RPG CELLULAR INVESTMENTS AND HOLDINGS PVT LTD***	2,90,799	2.67		NIL		-	-	-	-	2.67
11	SWALLOW ASSOCIATES LLP **	69,32,421	63.59		NIL		-	-	-	-	63.59
	TOTAL	78,98,619	72.45		NIL		-	-	-	-	72.45

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011
 ** Jubilee Investments & Industries Limited merged with RPG Cellular Investments and Holdings Private Limited (RCIHPL) pursuant to the Scheme of Amalgamation between RPG Communication & Holdings Private Limited, KTL Industrial Finance Company Limited, Koclim Breweries Private Limited, Yield Investments Private Limited and Jubilee Investments & Industries Limited with RCIHPL duly sanctioned by the Honorable High Court of Calcutta on June 11, 2009. RCIHPL merged with Swallow Associates Limited (SAL) pursuant to the Scheme of Amalgamation and Arrangement between SAL and Blue Niles Holdings Limited, South Asia Electricity Holdings Limited, Kestral Investments Limited, Petrochem International Limited and RCIHPL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and is known as Swallow Associates LLP.
 ***RPG Cellular Investments & Holdings Pvt. Ltd. merged with Swallow Associates Limited (SAL) pursuant to the Scheme of Amalgamation and Arrangement between SAL and Blue Niles Holdings Limited, South Asia Electricity Holdings Limited, Kestral Investments Limited, Petrochem International Limited and RCIHPL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and is known as Swallow Associates LLP.
 # Carnival Investments Limited have consolidated their holdings from two DP accounts into one DP account.
 # Swallow Associates LLP have consolidated their holdings from two DP accounts into one DP account.

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Muckatira Bhemaiha Chinappa	1,46,756	1.35	-	-	-	-	1.35
2	ANTRIKSH VYAPAAR LIMITED	1,56,500	1.44	-	-	-	-	1.44
3	GLOBE CAPITAL MARKET LIMITED	1,15,001	1.05	-	-	-	-	1.05
4	LIFE INSURANCE CORPORATION OF INDIA	2,97,147	2.73	-	-	-	-	2.73
	TOTAL	7,15,404	6.56	-	-	-	-	6.56

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants	Details of convertible	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a	
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class
1	N.A.						
	TOTAL						

(I) (d) Statement showing details of locked-in-shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above)	Promoter / Promoter Group / Public
1	N.A.			
	TOTAL			

(II) (a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of	Number of Shares	Shares underlying outstanding DRs as a
1	N.A.			
	TOTAL			

(II) (b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by 'Promoter / Promoter Group' are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of	Number of Shares	Shares underlying outstanding DRs as a
1	N.A.			
2				
	TOTAL			



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(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares / securities is issued by the issuer.

Category code	Category of Shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights i.e. (VI)	
		Class X	Class Y	Class Z		As a percentage of (A+B)	As a percentage of (A+B+C)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	Shareholding of Promoter and Promoter Group						
(1)	Indian		NA	NA			
(a)	Individuals / Hindu Undivided Family	3682			3682	0.03	0.03
(b)	Central Government / State Governments(s)	0			0	0.00	0.00
(c)	Bodies Corporate	7649479			7649479	70.33	70.33
(d)	Financial Institutions / Banks	0			0	0.00	0.00
(e)	Any Other (Trusts)	245458			245458	2.26	2.26
	Sub-Total (A) (1)	7898619	0	0	7898619	72.62	72.62
(2)	Foreign		NA	NA			
(a)	Individuals (Non-Resident Individuals / Foreign	0			0	0.00	0.00
(b)	Bodies Corporate	0			0	0.00	0.00
(c)	Institutions	0			0	0.00	0.00
(d)	Qualified Foreign Investor	0			0	0.00	0.00
(e)	Any Other (specify)	0			0	0.00	0.00
	Sub-Total (A) (2)	0	0	0	0	0.00	0.00
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	7898619	0	0	7898619	72.62	72.62
(B)	Public Shareholding		NA	NA			
(1)	Institutions						
(a)	Mutual Funds / UTI	85897			85897	0.79	0.79
(b)	Financial Institutions / Banks	899			899	0.01	0.01
(c)	Central Government / State Governments(s)	538			538	0.00	0.00
(d)	Venture Capital Funds	0			0	0.00	0.00
(e)	Insurance Companies	383440			383440	3.53	3.53
(f)	Foreign Institutional Investors	958			958	0.01	0.01
(g)	Investors	0			0	0.00	0.00
(h)	Qualified Foreign Investor	0			0	0.00	0.00
(i)	Any Other (Foreign Nationals-DR)	80			80	0.00	0.00
	Sub-Total (B) (1)	471812	0	0	471812	4.34	4.34
(2)	Non-Institutions		NA	NA			
(a)	Bodies Corporate	552168			552168	5.08	5.08
(b)	Individuals -						
i	Individual shareholders holding nominal share capital upto Rs. 1 lakh	1351739			1351739	12.43	12.43
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	502318			502318	4.62	4.62
(c)	Qualified Foreign Investor	0			0	0.00	0.00
(d)	Any Other (specify)						
i	Trusts	245			245	0.00	0.00
ii	Directors & their relatives	101			101	0.00	0.00
iii	Foreign Company	99184			99184	0.91	0.91
	Sub-total (B) (2)	2505755	0	0	2505755	23.04	23.04
	Total Public Shareholding (B) = (B)(1)+(B)(2)	2977567	0	0	2977567	27.38	27.38
	TOTAL (A)+(B)	10876186	0	0	10876186	100.00	100.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	NA	NA	0	0.00	0.00
	GRAND TOTAL (A)+(B)+(C)	10876186	0	0	10876186	100.00	100.00

As 25595 shares are lying in the Unclaimed Securities Suspense account as on 30.09.2015 out of the 25673 shares transferred therein, and these shares do not carry voting rights as per Clause 5A of the Listing Agreement, the voting rights have been calculated on the reduced capital.

