

(i) (a) Statement showing Shareholding Pattern

Name of the Company:		SUMMIT SECURITIES LIMITED	
Scrip Code, Name of the scrip, class of security:		BSE : 633308 / NSE : SUMMITSEC	
Quarter ended:		30th September, 2013	
<b>Partly paid-up shares:-</b>	<b>No. of partly paid-up shares</b>	<b>As a % of total no. of partly paid-up shares</b>	<b>As a % of total no. of shares of the company</b>
Held by Promoter / Promoters Group			
Held by public			Not Applicable
<b>Total</b>			
<b>Outstanding convertible securities:-</b>	<b>No. of outstanding securities</b>	<b>As a % of total No. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b>
Held by Promoter / Promoters Group			
Held by public			Not Applicable
<b>Total</b>			
<b>Warrants:-</b>	<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>
Held by Promoter / Promoters Group			
Held by public			Not Applicable
<b>Total</b>			
<b>Total paid-up capital of the company assuming full conversion of warrants and convertible securities</b>		<b>Not Applicable</b>	

Category code	Category of Shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialised form	Total Shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group							
(1)	Indian							
(a)	Individuals / Hindu Undivided Family	4	3682	3682	0.03	0.03	0	0.00
(b)	Central Government / State Governments(s)	0	0	0	0.00	0.00		
(c)	Bodies Corporate	13	7649479	7649479	70.17	70.17		
(d)	Financial Institutions / Banks	0	0	0	0.00	0.00		
(e)	Any Other	1	245465	245465	2.23	2.23		
	<b>Sub-Total (A) (1)</b>	<b>18</b>	<b>7898616</b>	<b>7898616</b>	<b>72.45</b>	<b>72.45</b>	<b>0</b>	<b>0.00</b>
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0.00	0.00		
(b)	Bodies Corporate	0	0	0	0.00	0.00		
(c)	Institutions	0	0	0	0.00	0.00		
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(e)	Any Other (specify)	0	0	0	0.00	0.00		
	<b>Sub-Total (A) (2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>	<b>18</b>	<b>7898616</b>	<b>7898616</b>	<b>72.45</b>	<b>72.45</b>	<b>0</b>	<b>0.00</b>
(B)	Public Shareholding							
(1)	Institutions						N.A.	N.A.
(a)	Mutual Funds / UTI	81	88513	86895	0.82	0.82	-	-
(b)	Financial Institutions / Banks	69	1017	460	0.01	0.01	-	-
(c)	Central Government / State Governments(s)	2	538	0	0.00	0.00	-	-
(d)	Venture Capital Funds	0	0	0	0.00	0.00	-	-
(e)	Insurance Companies	8	408855	408950	3.75	3.75	-	-
(f)	Foreign Institutional Investors	63	2593	143	0.02	0.02	-	-
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	-	-
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	-	-
(i)	Any Other	0	0	0	0.00	0.00	-	-
	<b>Sub-Total (B) (1)</b>	<b>203</b>	<b>501816</b>	<b>496148</b>	<b>4.60</b>	<b>4.60</b>	<b>N.A.</b>	<b>N.A.</b>
(2)	Non-Institutions							
(a)	Bodies Corporate	1005	470650	457343	4.32	4.32	-	-
(b)	Individuals -							
i	Individual shareholders holding nominal share capital upto Rs. 1 lakh	84728	1709139	1577380	15.68	15.68	-	-
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	9	222346	222346	2.04	2.04	-	-
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	-	-
(d)	Any Other (Trusts)	11	229	229	0.00	0.00	-	-
	(Directors & Relatives)	2	101	0	0.00	0.00	-	-
	(Foreign Company)	6	99184	62	0.91	0.91	-	-
	<b>Sub-Total (B) (2)</b>	<b>86760</b>	<b>2601649</b>	<b>2267360</b>	<b>22.95</b>	<b>22.95</b>	<b>-</b>	<b>-</b>
	<b>Total Public Shareholding (B) = (B)(1)+(B)(2)</b>	<b>85963</b>	<b>3003165</b>	<b>2763508</b>	<b>27.55</b>	<b>27.55</b>	<b>N.A.</b>	<b>N.A.</b>
	<b>TOTAL (A)+(B)</b>	<b>88981</b>	<b>10901781</b>	<b>10662124</b>	<b>100.00</b>	<b>100.00</b>	<b>N.A.</b>	<b>N.A.</b>
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0.00	0.00		
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>88981</b>	<b>10901781</b>	<b>10662124</b>	<b>100.00</b>	<b>100.00</b>	<b>N.A.</b>	<b>N.A.</b>



(ii)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Name of the Company: Summit Securities Limited

Serial Code: BSE - 533308 / NSE - SUMMITSEC

30th September, 2013

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of Shares held		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number (III)	As a % of grand total (A)+(B)+(C) (IV)	No. (V)	As a percentage (VI) = (V)/(III)*100 (VI)	As a % of grand total (A) + (B) + (C) of sub-class (VII)	Number of warrants held (VIII)	As a % total number of warrants of the same class (IX)	Number of convertible securities held (X)	As a % total number of convertible securities of the same class (XI)	
1	ANANT VARDHAN GOENKA	1,318	0.01		NIL						0.01
2	HARSH VARDHAN GOENKA	653	0.01		NIL						0.01
3	HARSH VARDHAN GOENKA	1,500	0.01		NIL						0.01
4	RAMA PRASAD GOENKA	231	0.00		NIL						0.01
5	SURESH MATHEW	245456	2.25		NIL						0.00
6	STEL HOLDINGS LIMITED	68,815	0.64		NIL						2.25
7	INSTANT HOLDINGS LTD	331186	3.04		NIL						0.64
8	INSTANT HOLDINGS LIMITED	4,472	0.04		NIL						3.04
9	# JUBILEE INVESTMENTS INDUSTRIES LTD	2285	0.02		NIL						0.04
10	* PETROCHEM INTERNATIONAL LTD	1,308,800	12.01		NIL						0.02
11	** SWALLOW ASSOCIATES LIMITED	756,450	6.94		NIL						12.01
	* RPG CELLULAR INVESTMENTS & HOLDINGS PVT LTD	290789	2.67		NIL						6.94
12	** SWALLOW ASSOCIATES LIMITED	918,513	8.41		NIL						2.67
14	** SWALLOW ASSOCIATES LIMITED	278,549	2.56		NIL						8.41
15	** SWALLOW ASSOCIATES LIMITED	1,238,069	11.34		NIL						2.56
16	** SWALLOW ASSOCIATES LIMITED	2,100,000	19.28		NIL						11.34
17	CARNIVAL INVESTMENTS LTD	178,708	1.65		NIL						19.28
18	CARNIVAL INVESTMENTS LTD	174,451	1.60		NIL						1.65
	TOTAL	7,688,818	72.45		NIL						1.60
											72.45

\* Jubilee Investments & Industries Limited has been merged with RPG Cellular Investments & Holdings Private Limited in the year 2009

\* RPG Cellular Investments & Holdings Pvt. Ltd. and Petrochem International Ltd. have merged with Swallow Associates Limited vide the Scheme of Arrangement and Amalgamation of Blue Hills Holdings Ltd., Kezral Investments Ltd., Petrochem International Ltd., RPG Cellular Investments & Holdings Pvt. Ltd., South Asia Electricity Holdings Ltd. with Swallow Associates Limited approved by the Hon'ble High Court of Judicature at Bombay on February 10, 2012 and the same became effective on March 27, 2012.

\*\* Swallow Associates Limited has been converted into a Limited Liability Partnership with effect from October 31, 2012 and since then is known as Swallow Associates LLP.

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 25(3) of the SAST Regulations, 2011

(ii)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (i)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	LIFE INSURANCE CORPORATION OF INDIA	297,147	2.73					2.73
2	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	158,500	1.43					1.43
3	Muckarrn Shermiah Chinnappa	122,929	1.13					1.13
	TOTAL	578,576	5.29					5.29

(ii)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 1% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (i)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	N.A.							
	TOTAL							



(D) (d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in statement at para (f)(a) above)	Promoter / Promoter Group / Public
1	PETROCHEM INTERNATIONAL LTD	1309600	12.01	Promoter
2	V K Gupta	200	0.00	Public
3	Shradh Shihra	100	0.00	Public
4	R C Agarwal	100	0.00	Public
5	V K Anand	100	0.00	Public
6	Suresh Mathew	100	0.00	Public
7	H. N. Singh Rajpoot	100	0.00	Public
8	L V H Rao	100	0.00	Public
9	SWALLOW ASSOCIATES LIMITED	2,100,000	19.28	Promoter
10	SWALLOW ASSOCIATES LIMITED	700,000	6.42	Promoter
11	SWALLOW ASSOCIATES LIMITED	598,400	5.57	Promoter
TOTAL		4,978,800	45.86	

(D) (a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of	Number of Shares	Shares underlying outstanding DRs as a
1	N.A.			
2				
TOTAL				

(D) (b) Statement showing holders of Depository Receipts (DRs), where underlying shares held by 'Promoter / Promoter Group' are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding	Number of Shares	Shares underlying outstanding DRs as a
1	N.A.			
2				
TOTAL				



(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares / securities is issued by the issuer.

Category code	Category of Shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights i.e. (VI)	
		Class X	Class Y	Class Z		As a percentage of (A+B)	As a percentage of (A+B+C)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	Shareholding of Promoter and Promoter Group		NA	NA			
(1)	Indian						
(a)	Individuals / Hindu Undivided Family	3682			3682	0.03	0.03
(b)	Central Government / State Governments(s)	0			0	0.00	0.00
(c)	Bodies Corporate	* 7649479			7649479	70.17	70.17
(d)	Financial Institutions / Banks	0			0	0.00	0.00
(e)	Any Other (specify)	245455			245455	2.25	2.25
	<b>Sub-Total (A) (1)</b>	<b>7898616</b>	<b>0</b>	<b>0</b>	<b>7898616</b>	<b>72.45</b>	<b>72.45</b>
(2)	Foreign		NA	NA			
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0			0	0.00	0.00
(b)	Bodies Corporate	0			0	0.00	0.00
(c)	Institutions	0			0	0.00	0.00
(d)	Qualified Foreign Investor	0			0	0.00	0.00
(e)	Any Other (specify)	0			0	0.00	0.00
	<b>Sub-Total (A) (2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>	<b>7898616</b>	<b>0</b>	<b>0</b>	<b>7898616</b>	<b>72.45</b>	<b>72.45</b>
(B)	Public Shareholding		NA	NA			
(1)	Institutions						
(a)	Mutual Funds / UTI	88513			88513	0.82	0.82
(b)	Financial Institutions / Banks	1017			1017	0.01	0.01
(c)	Central Government / State Governments(s)	538			538	0.00	0.00
(d)	Venture Capital Funds	0			0	0.00	0.00
(e)	Insurance Companies	408855			408855	3.75	3.75
(f)	Foreign Institutional Investors	2593			2593	0.02	0.02
(g)	Foreign Venture Capital Investors	0			0	0.00	0.00
(h)	Qualified Foreign Investor	0			0	0.00	0.00
(i)	Any Other (specify)	0			0	0.00	0.00
	<b>Sub-Total (B) (1)</b>	<b>501516</b>	<b>0</b>	<b>0</b>	<b>501516</b>	<b>4.60</b>	<b>4.60</b>
(2)	Non-Institutions		NA	NA			
(a)	Bodies Corporate	470650			470650	4.32	4.32
(b)	Individuals -						
i	Individual shareholders holding nominal share capital upto Rs. 1 lakh	1709139			1709139	15.68	15.68
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	222346			222346	2.04	2.04
(c)	Qualified Foreign Investor	0			0	0.00	0.00
(d)	Any Other (specify)						
i	Trusts	229			229	0.00	0.00
ii	Directors & their relatives	101			101	0.00	0.00
iii	Foreign Company	99184			99184	0.91	0.91
	<b>Sub-total (B) (2)</b>	<b>2501649</b>	<b>0</b>	<b>0</b>	<b>2501649</b>	<b>22.95</b>	<b>22.95</b>
	<b>Total Public Shareholding (B) = (B)(1)+(B)(2)</b>	<b>3003165</b>	<b>0</b>	<b>0</b>	<b>3003165</b>	<b>27.55</b>	<b>27.55</b>
	<b>TOTAL (A)+(B)</b>	<b>10901781</b>	<b>0</b>	<b>0</b>	<b>10901781</b>	<b>100.00</b>	<b>100.00</b>
(C)	Shares held by Custodians and against which Depository Receipts have been issued		NA	NA	0	0.00	0.00
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>10901781</b>	<b>0</b>	<b>0</b>	<b>10901781</b>	<b>100.00</b>	<b>100.00</b>

\* Out of 7649479 shares, 335640 shares do not carry voting rights.

