

SUMMIT SECURITIES LIMITED

Corporate Identification Number: U65921MH1997PLC194571
Registered Office: RPG House, 463, Dr. Annie Besant Road, Worli, Mumbai 400 030
Tel.Nos.: +91-22-5292152-55. Fax No.: +91-22-25297241
Website: www.summitsecurities.net Email.: summitsec@gmail.com

(I) (a) Statement showing Shareholding Pattern

Name of the Company:		SUMMIT SECURITIES LIMITED	
Script Code, Name of the scrip, class of security:		BSE : 533306 / NSE : SUMMITSEC	
Quarter ended:		31st March, 2014	
Partly paid-up shares:-			
Held by Promoter / Promoters Group	No. of partly paid-up	As a % of total no.	As a % of total
Held by Public	---	---	---
Total			
Outstanding convertible securities:-			
Held by Promoter / Promoters Group	No. of outstanding	As a % of total	As a % of total
Held by Public	---	---	---
Total			
Warrants:-			
Held by Promoter / Promoters Group	No. of warrants	As a % of total no.	As a % of total
Held by Public	---	---	---
Total			
Total Paid-up capital of the company assuming full conversion of warrants and convertible securities			

Category code	Category of Shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialised form	Total Shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (IX)=(VI II)/(IV)* 100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Shareholding of Promoter and Promoter Group							
(1)	Indian							
(a)	Individuals / Hindu Undivided Family	4	3682	3682	0.03	0.03	0	0.00
(b)	Central Government / State Governments(s)	0	0	0	0.00	0.00		
(c)	Bodies Corporate	11	7649479	7649479	70.17	70.17		
(d)	Financial Institutions / Banks	0	0	0	0.00	0.00		
(e)	Any Other (Trust)	1	245455	245455	2.25	2.25		
	Sub-Total (A) (1)	16	7898616	7898616	72.45	72.45	0	0.00
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0.00	0.00		
(b)	Bodies Corporate	0	0	0	0.00	0.00		0
(c)	Institutions	0	0	0	0.00	0.00		
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(e)	Any Other (specify)	0	0	0	0.00	0.00		
	Sub-Total (A) (2)	0	0	0	0.00	0.00	0	0
	Total Shareholding of Promoter and Promoter Group (A) =	16	7898616	7898616	72.45	72.45	0	0.00
(B)	Public Shareholding							
(1)	Institutions						N.A.	N.A.
(a)	Mutual Funds / UTI	61	88,513	86,695	0.82	0.82	-	-
(b)	Financial Institutions / Banks	69	1,017	460	0.01	0.01	-	-
(c)	Central Government / State Governments(s)	2	538	0	0.00	0.00	-	-
(d)	Venture Capital Funds	0	0	0	0.00	0.00	-	-
(e)	Insurance Companies	8	408,855	408,850	3.75	3.75	-	-
(f)	Foreign Institutional Investors	63	2,593	143	0.02	0.02	-	-
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	-	-
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	-	-
(i)	Any Other	0	0	0	0.00	0.00	-	-
	Sub-Total (B) (1)	203	501516	496148	4.60	4.60	N.A.	N.A.
(2)	Non-Institutions							
(a)	Bodies Corporate	1,067	453,831	440,524	4.16	4.16	-	-
(b)	Individuals -							
i	Individual shareholders holding nominal share capital upto Rs. 1 lakh	81,903	1,656,360	1,525,774	15.19	15.19	-	-
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	11	291,944	291,944	2.68	2.68	-	-
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	-	-
(d)	Any Other (Trusts)	11	229	229	0.00	0.00	-	-
	(Directors & Relatives)	2	101	0	0.00	0.00	-	-
	(Foreign Company)	5	99,184	62	0.91	0.91	-	-
	Sub-total (B) (2)	82999	2501649	2258533	22.95	22.95	-	-
	Total Public Shareholding (B) = (B)(1)+(B)(2)	83202	3003165	2754681	27.55	27.55	N.A.	N.A.
	TOTAL (A)+(B)	83218	10901781	10653297	100.00	100.00	N.A.	N.A.
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0.00	0.00		
	GRAND TOTAL (A)+(B)+(C)	83218	10901781	10653297	100.00	100.00	N.A.	N.A.



(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Name of the Company : Summit Securities Limited
 Scrip Code : BSE : 533306 / NSE : SUMMITSEC

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of Shares held		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A) + (B) + (C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI) = (V) / (III) * 100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	ANANT VARDHAN GOENKA	1,318	0.01		NIL		-	-	-	-	0.01
2	NARSH VARDHAN GOENKA	653	0.01		NIL		-	-	-	-	0.01
3	NARSH VARDHAN GOENKA	1,500	0.01		NIL		-	-	-	-	0.01
4	BAMA PRASAD GOENKA	211	0.00		NIL		-	-	-	-	0.00
5	SURESH MATHEW (Trustee, RIFL Benefit Trust)	245455	2.25		NIL		-	-	-	-	2.25
6	STEL HOLDINGS LIMITED	69,815	0.64		NIL		-	-	-	-	0.64
7	JUBILEE INVESTMENTS INDUSTRIES LTD	2285	0.02		NIL		-	-	-	-	0.02
10	RPG CELLULAR INVESTMENTS & HOLDINGS PVT LTD	290799	2.67		NIL		-	-	-	-	2.67
11	SWALLOW ASSOCIATES LIMITED	1,251,512	11.48		NIL		-	-	-	-	11.48
8	SWALLOW ASSOCIATES LIMITED	1,309,600	12.01		NIL		-	-	-	-	12.01
9	SWALLOW ASSOCIATES LIMITED	700,000	6.42		NIL		-	-	-	-	6.42
13	SWALLOW ASSOCIATES LIMITED	2,100,000	19.26		NIL		-	-	-	-	19.26
14	SWALLOW ASSOCIATES LLP	1,235,669	11.34		NIL		-	-	-	-	11.34
15	SWALLOW ASSOCIATES LLP	335,640	3.08		NIL		-	-	-	-	3.08
16	CARNIVAL INVESTMENTS LTD	179,708	1.65		NIL		-	-	-	-	1.65
17	CARNIVAL INVESTMENTS LTD	174,451	1.60		NIL		-	-	-	-	1.60
TOTAL		7,898,616	72.45		NIL		-	-	-	-	72.45

*Jubilee Investments & Industries Limited merged with RPG Cellular Investments and Holdings Private Limited (RCIHL) pursuant to the Scheme of Amalgamation between RPG Communication & Holdings Private Limited, KTL Industrial Finance Company Limited, Koclim Breweries Private Limited, Yield Investments Private Limited and Jubilee Investments & Industries Limited with RCIHL duly sanctioned by the Honorable High Courts of Calcutta, Bombay & New Delhi, w.e.f. September 22, 2009

* RPG Cellular Investments & Holdings Pvt. Ltd. merged with Swallow Associates Limited (SAL) pursuant to the Scheme of Amalgamation and Arrangement between SAL and Blue Niles Holdings Limited, South Asia electricity Holdings Limited, Kestral Investments Limited, Petrochem International Limited and RCIHL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012

** Swallow Associates Limited has been converted into a Limited Liability Partnership with effect from October 31, 2012 and now is known as Swallow Associates LLP.

(*)The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities)
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	LIFE INSURANCE CORPORATION OF INDIA	297,152	2.73	-	-	-	-	2.73
2	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	156,500	1.44	-	-	-	-	1.44
3	Muckatira Bhemalaha Chinappa	140,456	1.29	-	-	-	-	1.29
TOTAL		594,108	5.45	-	-	-	-	5.45

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities)
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	N.A.							
TOTAL								

(I) (d) Statement showing details of locked-in-shares

Sr. No.	Name of the shareholder	Number of locked-in	Locked-in shares as a percentage of	Promoter / Promoter
1	SWALLOW ASSOCIATES LIMITED	700,000	6.42	Promoter
TOTAL		700,000	6.42	

(II) (a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding	Number of Shares	Shares underlying outstanding DRs as a %
1	N.A.			
2				
TOTAL				

(II) (b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by 'Promoter / Promoter Group' are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding	Number of Shares	Shares underlying outstanding DRs as a %
1	N.A.			
2				
TOTAL				



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(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares / securities is issued by the issuer.

Category code (I)	Category of Shareholder (II)	Number of Voting Rights held			Total Voting Rights (III+IV+V) (VI)	Total Voting Rights i.e.	
		Class X (III)	Class Y (IV)	Class Z (V)		As a percentage of (A+B) (VII)	As a percentage of (A+B+C) (VIII)
(A)	Shareholding of Promoter and Promoter Group						
(1)	Indian		NA	NA			
(a)	Individuals / Hindu Undivided Family	3682			3682	0.03	0.03
(b)	Central Government / State Governments(s)	0			0	0.00	0.00
(c)	Bodies Corporate	7649479			7649479	70.17	70.17
(d)	Financial Institutions / Banks	0			0	0.00	0.00
(e)	Any Other (Trust)	245455			245455	2.25	2.25
	Sub-Total (A) (1)	7898616	0	0	7898616	72.45	72.45
(2)	Foreign		NA	NA			
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0			0	0.00	0.00
(b)	Bodies Corporate	0			0	0.00	0.00
(c)	Institutions	0			0	0.00	0.00
(d)	Qualified Foreign Investor	0			0	0.00	0.00
(e)	Any Other (specify)	0			0	0.00	0.00
	Sub-Total (A) (2)	0	0	0	0	0.00	0.00
	Total Shareholding of Promoter and Promoter Group	7898616	0	0	7898616	72.45	72.45
(B)	Public Shareholding		NA	NA			
(1)	Institutions						
(a)	Mutual Funds / UTI	88513			88513	0.82	0.82
(b)	Financial Institutions / Banks	1017			1017	0.01	0.01
(c)	Central Government / State Governments(s)	538			538	0.00	0.00
(d)	Venture Capital Funds	0			0	0.00	0.00
(e)	Insurance Companies	408855			408855	3.75	3.75
(f)	Foreign Institutional Investors	2593			2593	0.02	0.02
(g)	Foreign Venture Capital Investors	0			0	0.00	0.00
(h)	Qualified Foreign Investor	0			0	0.00	0.00
(i)	Any Other (specify)	0			0	0.00	0.00
	Sub-Total (B) (1)	501516	0	0	501516	4.60	4.60
(2)	Non-Institutions		NA	NA			
(a)	Bodies Corporate	453831			453831	4.16	4.16
(b)	Individuals -						
i	Individual shareholders holding nominal share capital upto Rs. 1 lakh	1656360			1656360	15.19	15.19
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	291944			291944	2.68	2.68
(c)	Qualified Foreign Investor	0			0	0.00	0.00
(d)	Any Other (specify)						
i	Trusts	229			229	0.00	0.00
ii	Directors & their relatives	101			101	0.00	0.00
iii	Foreign Company	99184			99184	0.91	0.91
	Sub-total (B) (2)	2501649	0	0	2501649	22.95	22.95
	Total Public Shareholding (B) = (B)(1)+(B)(2)	3003165	0	0	3003165	27.55	27.55
	TOTAL (A)+(B)	10901781	0	0	10901781	100.00	100.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued		NA	NA	0	0.00	0.00
	GRAND TOTAL (A)+(B)+(C)	10901781	0	0	10901781	100.00	100.00

