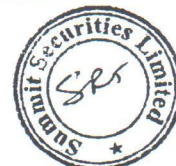


(1) (a) Statement showing Shareholding Pattern

Name of the Company:		SUMMIT SECURITIES LIMITED	
Scrip Code, Name of the scrip, class of security:		BSE : 533306 / NSE : SUMMITSEC	
Quarter ended:		31st December, 2013	
<b>Partly paid-up shares:-</b>	<b>No. of partly paid-up shares</b>	<b>As a % of total no. of partly paid-up shares</b>	<b>As a % of total no. of shares of the company</b>
Held by Promoter / Promoters Group			
Held by public			
<b>Total</b>			
<b>Outstanding convertible securities:-</b>	<b>No. of outstanding securities</b>	<b>As a % of total No. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b>
Held by Promoter / Promoters Group			
Held by public			
<b>Total</b>			
<b>Warrants:-</b>	<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>
Held by Promoter / Promoters Group			
Held by public			
<b>Total</b>			
<b>Total paid-up capital of the company assuming full conversion of warrants and convertible securities</b>			

Category code	Category of Shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialised form	Total Shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (VIII)/(IV) *100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	<b>Shareholding of Promoter and Promoter Group</b>							
(1)	<b>Indian</b>							
(a)	Individuals / Hindu Undivided Family	4	3682	249137	0.03	0.03	0	0.00
(b)	Central Government / State Governments(s)	0	0	0	0.00	0.00		
(c)	Bodies Corporate	13	7649479	7649479	70.17	70.17		
(d)	Financial Institutions / Banks	0	0	0	0.00	0.00		
(e)	Any Other	1	245455	0	2.25	2.25		
	<b>Sub-Total (A) (1)</b>	<b>18</b>	<b>7898616</b>	<b>7898616</b>	<b>72.45</b>	<b>72.45</b>	<b>0</b>	<b>0.00</b>
(2)	<b>Foreign</b>							
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0.00	0.00		
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	
(c)	Institutions	0	0	0	0.00	0.00		
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(e)	Any Other (specify)	0	0	0	0.00	0.00		
	<b>Sub-Total (A) (2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>	<b>18</b>	<b>7898616</b>	<b>7898616</b>	<b>72.45</b>	<b>72.45</b>	<b>0</b>	<b>0.00</b>
(B)	<b>Public Shareholding</b>						N.A.	N.A.
(1)	<b>Institutions</b>							
(a)	Mutual Funds / UTI	61	88,513	86,695	0.82	0.82	-	-
(b)	Financial Institutions / Banks	69	1,017	460	0.01	0.01	-	-
(c)	Central Government / State Governments(s)	2	538	0	0.00	0.00	-	-
(d)	Venture Capital Funds	0	0	0	0.00	0.00	-	-
(e)	Insurance Companies	8	408,855	408,850	3.75	3.75	-	-
(f)	Foreign Institutional Investors	63	2,593	143	0.02	0.02	-	-
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	-	-
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	-	-
(i)	Any Other	0	0	0	0.00	0.00	-	-
	<b>Sub-Total (B) (1)</b>	<b>203</b>	<b>501516</b>	<b>496148</b>	<b>4.60</b>	<b>4.60</b>	<b>N.A.</b>	<b>N.A.</b>
(2)	<b>Non-Institutions</b>							
(a)	Bodies Corporate	1,008	481,109	467,802	4.41	4.41	-	-
(b)	Individuals -							
i	Individual shareholders holding nominal share capital upto Rs. 1 lakh	83,706	1,690,391	1,559,278	15.51	15.51	-	-
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	9	230,635	230,635	2.12	2.12	-	-
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	-	-
(d)	Any Other (Trusts)	11	229	229	0.00	0.00	-	-
	(Directors & Relatives) (Foreign Company)	2 5	101 99,184	0 62	0.00 0.91	0.00 0.91	-	-
	<b>Sub-total (B) (2)</b>	<b>84741</b>	<b>2501649</b>	<b>2258006</b>	<b>22.95</b>	<b>22.95</b>	<b>-</b>	<b>-</b>
	<b>Total Public Shareholding (B) = (B)(1)+(B)(2)</b>	<b>84944</b>	<b>3003165</b>	<b>2754154</b>	<b>27.55</b>	<b>27.55</b>	<b>N.A.</b>	<b>N.A.</b>
	<b>TOTAL (A)+(B)</b>	<b>84962</b>	<b>10901781</b>	<b>10652770</b>	<b>100.00</b>	<b>100.00</b>	<b>N.A.</b>	<b>N.A.</b>
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0.00	0.00		
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>84962</b>	<b>10901781</b>	<b>10652770</b>	<b>100.00</b>	<b>100.00</b>	<b>N.A.</b>	<b>N.A.</b>



**(1)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"**

Name of the Company : Summit Securities Limited  
 Scrip Code : BSE : 533306 / NSE : SUMMITSEC  
 31st December, 2013

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of Shares held		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number	As a % of grand total (A)+(B)+(C)	No.	As a percentage (VI) = (V) / (III) * 100	As a % of grand total (A) + (B) + (C) of sub-clause (I)(a) (VII)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	ANANT VARDHAN GOENKA	1,318	0.01		NIL						0.01
2	HARSH VARDHAN GOENKA	633	0.01		NIL						0.01
3	HARSH VARDHAN GOENKA	1,500	0.01		NIL						0.01
4	RAMA PRASAD GOENKA	211	0.00		NIL						0.00
5	SURESH MATHEW	245455	2.25		NIL						2.25
6	STEL HOLDINGS LIMITED	69,815	0.64		NIL						0.64
7	INSTANT HOLDINGS LTD	331168	3.04		NIL						3.04
8	INSTANT HOLDINGS LIMITED	4,472	0.04		NIL						0.04
9	* JUBILEE INVESTMENTS INDUSTRIES LTD	2785	0.02		NIL						0.02
10	* PETROCHEM INTERNATIONAL LTD	1,309,600	12.01		NIL						12.01
11	SWALLOW ASSOCIATES LIMITED	700,000	6.42		NIL						6.42
12	* RPG CELLULAR INVESTMENTS & HOLDINGS PVT LTD	290799	2.67		NIL						2.67
13	** SWALLOW ASSOCIATES LIMITED	868,400	7.97		NIL						7.97
14	** SWALLOW ASSOCIATES LIMITED	383,112	3.51		NIL						3.51
15	** SWALLOW ASSOCIATES LIMITED	1,235,669	11.34		NIL						11.34
16	** SWALLOW ASSOCIATES LIMITED	2,100,000	19.26		NIL						19.26
17	CARNIVAL INVESTMENTS LTD	179,708	1.65		NIL						1.65
18	CARNIVAL INVESTMENTS LTD	174,451	1.60		NIL						1.60
	<b>TOTAL</b>	<b>7,898,616</b>	<b>72.45</b>		<b>NIL</b>						<b>72.45</b>

# Jubilee Investments & Industries Limited has been merged with RPG Cellular Investments & Holdings Private Limited in the year 2009

\* RPG Cellular Investments & Holdings Pvt. Ltd. and Petrochem International Ltd. have merged with Swallow Associates Limited vide the Scheme of Arrangement and Amalgamation of Blue Niles Holdings Ltd., Kestrel Investments Ltd., Petrochem International Ltd., RPG Cellular Investments & Holdings Pvt. Ltd., South Asia Electricity Holdings Ltd. with Swallow Associates Limited approved by the Hon'ble High Court of Judicature at Bombay on February 10, 2012 and the same became effective on March 27, 2012.

\*\* Swallow Associates Limited has been converted into a Limited Liability Partnership with effect from October 31, 2012 and since then is known as Swallow Associates LLP.

(\*) The term 'encumbrance' has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

**(1)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares**

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(b) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	LIFE INSURANCE CORPORATION OF INDIA	297,152	2.73	-	-	-	-	2.73
2	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	156,500	1.44	-	-	-	-	1.44
3	Muckatira Bhemalah Chinappa	131,218	1.20	-	-	-	-	1.20
	<b>TOTAL</b>	<b>584,870</b>	<b>5.36</b>					<b>5.36</b>



(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) Indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same	
1	N.A.							
TOTAL								

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) Indicated in statement at para (I)(a) above)	Promoter / Promoter Group / Public
1	PETROCHEM INTERNATIONAL LTD	1309600	12.01	Promoter
2	SWALLOW ASSOCIATES LIMITED	2,100,000	19.26	Promoter
3	SWALLOW ASSOCIATES LIMITED	700,000	6.42	Promoter
4	SWALLOW ASSOCIATES LIMITED	868,400	7.97	Promoter
TOTAL		4,978,000	45.66	

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of	Number of Shares	Shares underlying outstanding DRs
1	N.A.			
2				
TOTAL				

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by 'Promoter / Promoter Group' are in excess of 1% of the total

Sr. No.	Name of the DR Holder	Type of outstanding	Number of Shares	Shares underlying outstanding DRs
1	N.A.			
2				
TOTAL				



(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares / securities is issued by the issuer.

Category code (I)	Category of Shareholder (II)	Number of Voting Rights held In each class of securities			Total Voting Rights (III+IV+V ) (VI)	Total Voting Rights i.e. (VI)	
		Class X (III)	Class Y (IV)	Class Z (V)		As a percentag e of (VII)	As a percentage of (A+B+C) (VIII)
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group</b>						
<b>(1)</b>	<b>Indian</b>		NA	NA			
	Individuals / Hindu Undivided						
(a)	Family	3682			3682	0.03	0.03
(b)	Central Government / State Governments(s)	0			0	0.00	0.00
(c)	Bodies Corporate	* 7649479			7649479	70.17	70.17
(d)	Financial Institutions / Banks	0			0	0.00	0.00
(e)	Any Other (specify)	245455			245455	2.25	2.25
	<b>Sub-Total (A) (1)</b>	<b>7898616</b>	<b>0</b>	<b>0</b>	<b>7898616</b>	<b>72.45</b>	<b>72.45</b>
<b>(2)</b>	<b>Foreign</b>		NA	NA			
(a)	Individuals (Non-Resident Individuals / Foreign	0			0	0.00	0.00
(b)	Bodies Corporate	0			0	0.00	0.00
(c)	Institutions	0			0	0.00	0.00
(d)	Qualified Foreign Investor	0			0	0.00	0.00
(e)	Any Other (specify)	0			0	0.00	0.00
	<b>Sub-Total (A) (2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>	<b>7898616</b>	<b>0</b>	<b>0</b>	<b>7898616</b>	<b>72.45</b>	<b>72.45</b>
<b>(B)</b>	<b>Public Shareholding</b>		NA	NA			
<b>(1)</b>	<b>Institutions</b>						
(a)	Mutual Funds / UTI	88513			88513	0.82	0.82
(b)	Financial Institutions / Banks	1017			1017	0.01	0.01
(c)	Central Government / State Governments(s)	538			538	0.00	0.00
(d)	Venture Capital Funds	0			0	0.00	0.00
(e)	Insurance Companies	408855			408855	3.75	3.75
(f)	Foreign Institutional Investors	2593			2593	0.02	0.02
(g)	Investors	0			0	0.00	0.00
(h)	Qualified Foreign Investor	0			0	0.00	0.00
(i)	Any Other (specify)	0			0	0.00	0.00
	<b>Sub-Total (B) (1)</b>	<b>501516</b>	<b>0</b>	<b>0</b>	<b>501516</b>	<b>4.60</b>	<b>4.60</b>
<b>(2)</b>	<b>Non-Institutions</b>		NA	NA			
(a)	Bodies Corporate	481109			481109	4.41	4.41
(b)	Individuals -						
i	Individual shareholders holding nominal share capital upto Rs. 1 lakh	1690391			1690391	15.51	15.51
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	230635			230635	2.12	2.12
(c)	Qualified Foreign Investor	0			0	0.00	0.00
(d)	Any Other (specify)						
i	Trusts	229			229	0.00	0.00
ii	Directors & their relatives	101			101	0.00	0.00
iii	Foreign Company	99184			99184	0.91	0.91
	<b>Sub-total (B) (2)</b>	<b>2501649</b>	<b>0</b>	<b>0</b>	<b>2501649</b>	<b>22.95</b>	<b>22.95</b>
	<b>Total Public Shareholding (B) = (B)(1)+(B)(2)</b>	<b>3003165</b>	<b>0</b>	<b>0</b>	<b>3003165</b>	<b>27.55</b>	<b>27.55</b>
	<b>TOTAL (A)+(B)</b>	<b>10901781</b>	<b>0</b>	<b>0</b>	<b>10901781</b>	<b>100.00</b>	<b>100.00</b>
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>		NA	NA	0	0.00	0.00
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>10901781</b>	<b>0</b>	<b>0</b>	<b>10901781</b>	<b>100.00</b>	<b>100.00</b>

\* Out of 7649479 shares, 335640 shares do not carry voting rights.

