

# SUMMIT SECURITIES LIMITED

Corporate Identification Number: L65921MH1997PLC194571  
Registered Office: 213, Bezzola Complex, B Wing, 71, Sion-Trombay Road, Chembur, Mumbai - 400071  
Tel No.: +91-22-25292152/54 Fax No: +91-22-25297423  
Website : www.summitsecurities.net Email : summitsec@gmail.com

Ref. No. : RPG/CORPSEC/ /2015-16

October 7, 2015

<b>National Stock Exchange of India Limited</b> Exchange Plaza, 5 <sup>th</sup> Floor Plot No C/1, G Block Bandra Kurla Complex Bandra East Mumbai 400 051	<b>BSE Limited</b> Phiroze Jeejeebhoy Towers Dalal Street, Fort Mumbai 400 001
---	---

**Sub: Quarterly Compliance Report on Corporate Governance for the Quarter ended September 30, 2015**

Dear Sir,

We are enclosing herewith the Report under Clause 49 of the Listing Agreement for the Quarter ended September 30, 2015 for your information and record:

Name of the Company: **Summit Securities Limited**

Quarter ending on: **September 30, 2015**

Particulars	Clause of Listing Agreement	Compliance Status Yes/No/N.A.	Remarks
<b>II Board of Directors</b>	<b>49 (II)</b>		
(A) Composition of the Board	49(IIA)	Yes	
(B) Independent Directors	49(IIB)	Yes	
(C) Non-executive Directors' compensation and disclosures	49(IIC)	Yes	
(D) Other provisions as to Board and Committees	49 (IID)	Yes	
(E) Code of Conduct	49(IIE)	Yes	
(F) Whistle Blower Policy	49(IIF)	Yes	
<b>III Audit Committee</b>	<b>49 (III)</b>		
(A) Qualified and Independent Audit Committee	49 (IIIA)	Yes	
(B) Meeting of the Audit Committee	49 (IIIB)	Yes	
(C) Powers of the Audit Committee	49 (IIIC)	Yes	
(D) Role of the Audit Committee	49 (IIID)	Yes	
(E) Review of information by Audit Committee	49 (IIIE)	Yes	
<b>IV. Nomination and Remuneration Committee</b>	<b>49(IV)</b>	Yes	



# SUMMIT SECURITIES LIMITED

Corporate Identification Number: L65921MH1997PLC194571

Registered Office: 213, Bezzola Complex, B Wing, 71, Sion-Trombay Road, Chembur, Mumbai - 400071

Tel No.: +91-22-25292152/54 Fax No: +91-22-25297423

Website : www.summitsecurities.net Email : summitsec@gmail.com

<b>V. Subsidiary Companies</b>	<b>49 (V)</b>	Yes	
<b>VI. Risk Management</b>	<b>49 (VI)</b>	Yes	
<b>VII. Related Party Transactions</b>	<b>49 (VII)</b>	Yes	
<b>VIII. Disclosures</b>	<b>49 (VIII)</b>		
(A) Related party transactions	49 (VIII A)	Yes	There are no material transactions with identified related parties for the quarter ended September 30, 2015.
(B) Disclosure of Accounting Treatment	49 (VIII B)	N.A.	
(C) Remuneration of Directors	49 (VIII C)	Yes	Forms part of the Annual Report of 2014-15.
(D) Management	49 (VIII D)	Yes	Forms part of the Annual Report of 2014-15.
(E) Shareholders	49 (VIII E)	Yes	Forms part of the Annual Report of 2014-15.
(F) Proceeds from public issues, rights issues, preferential issues, etc.	49 (VIII F)	N.A.	
<b>IX. CEO/CFO Certification</b>	<b>49 (IX)</b>	--	Complied with for the year 2014-15
<b>X. Report on Corporate Governance</b>	<b>49 (X)</b>	--	Forms part of the Annual Report for the year 2014-15
<b>XI. Compliance</b>	<b>49 (XI)</b>	--	Forms part of the Annual Report for the year 2014-15

Thanking You,

Yours faithfully,

For Summit Securities Limited



Company Secretary

