

# SUMMIT SECURITIES LIMITED

Regd. Off. : RPG House, 463, Dr. Annie Besant Road, Worli, Mumbai 400 030  
Tel No.: +91-22-24930621 Fax No: +91-22-24974710

Ref. No. RPG/ CORPFIN/125/2013-2014

July 5, 2013

The Bombay Stock Exchange Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street, Fort  
Mumbai 400 001

The National Stock Exchange of India Limited  
Exchange Plaza, 5<sup>th</sup> Floor  
Plot No C/1, G Block  
Bandra Kurla Complex  
Bandra East  
Mumbai 400 051

## Sub: Quarterly Compliance Report on Corporate Governance for the Quarter ended June 30, 2013

Dear Sir,

We are enclosing herewith the Report under Clause 49 of the Listing Agreement for the Quarter ended June 30, 2013 for your information and record.

Name of the Company: **Summit Securities Limited**  
Quarter ending on: **June 30, 2013**

| Particulars   | Clause of Listing Agreement | Compliance Status | Remarks |
|---|-----------------------------|-------------------|---------|
| <b>I Board of Directors</b>                               | <b>49 (I)</b>               |                   |         |
| (A) Composition of the Board                              | 49(IA)                      | Yes               |         |
| (B) Non executive Directors' Compensation and Disclosures | 49(IB)                      | Yes               |         |
| (C) Other provisions as to Board and committees           | 49(IC)                      | Yes               |         |
| (D) Code of Conduct                                       | 49(ID)                      | Yes               |         |
|   |                             |                   |         |
| <b>II Audit Committee</b>                                 | <b>49 (II)</b>              |                   |         |
| (A) Qualified and Independent Audit Committee             | 49 (IIA)                    | Yes               |         |
| (B) Meetings of the Audit Committee                       | 49 (IIB)                    | Yes               |         |
| (C) Powers of the Audit Committee                         | 49 (IIC)                    | Yes               |         |
| (D) Role of the Audit Committee                           | 49 (IID)                    | Yes               |         |
| (E) Review of information by Audit Committee              | 49 (IIE)                    | Yes               |         |
|   |                             |                   |         |
| <b>III Subsidiary Companies</b>                           | <b>( 49 III)</b>            | Yes               |         |
|   |                             |                   |         |

SRS



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|  |                 |     |  |
|--|-----------------|-----|--|
| <b>IV Disclosures</b>  | <b>( 49 IV)</b> |     |  |
| (A)Basis of related party transactions                                   | 49 (IVA)        | Yes |  |
| (B) Disclosure of Accounting Treatment                                   | 49 (IVB)        | -   | Not Applicable                                       |
| (C ) Board Disclosures   | 49 (IVC)        | Yes |  |
| (D) Proceeds from public issues, rights issues, preferential issues etc. | 49 (IVD)        | -   | Not Applicable                                       |
| (E) Remuneration of Directors  | 49 (IVE)        | -   | Not Applicable                                       |
| (F) Management   | 49 (IVF)        | Yes | Forms part of the Annual Report for the Year 2012-13 |
| (G) Shareholders   | 49 (IVG)        | Yes | Forms part of the Annual Report for the Year 2012-13 |
|  |                 |     |  |
| <b>V CEO/CFO Certification</b>   | <b>49 (V)</b>   | Yes | Complied with for the year 2012-13                   |
|  |                 |     |  |
| <b>VI Report on Corporate Governance</b>                                 | <b>49 (VI)</b>  | Yes | Forms part of the Annual Report for the Year 2012-13 |
|  |                 |     |  |
| <b>VII Compliance</b>  | <b>49 (VII)</b> | Yes | Forms part of the Annual Report for the Year 2012-13 |

Thanking You,

Yours faithfully  
For Summit Securities Limited

*S. Roosh*

Shruti Joshi  
Company Secretary

