

# SUMMIT SECURITIES LIMITED

Corporate Identification Number: L65921MH1997PLC194571  
Registered Office: RPG House, 463, Dr. Annie Besant Road, Worli, Mumbai 400 030  
Tel No.: +91-22-25292152/53/54 Fax No: +91-22-25297241  
Website : www.summitsecurities.net Email : summitsec@gmail.com

REF. No. : RPG/CORPSEC/ /2014-15

July 7, 2014

<b>National Stock Exchange of India Limited</b> Exchange Plaza, 5 <sup>th</sup> Floor Plot No C/1, G Block Bandra Kurla Complex Bandra East Mumbai 400 051	<b>BSE Limited</b> Phiroze Jeejeebhoy Towers Dalal Street, Fort Mumbai 400 001
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**Sub: Quarterly Compliance Report on Corporate Governance for the Quarter ended June 30, 2014**

Dear Sir,

We are enclosing herewith the Report under Clause 49 of the Listing Agreement for the Quarter ended June 30, 2014 for your information and record.

Name of the Company: **Summit Securities Limited**

Quarter ending on: **June 30, 2014**

Particulars	Clause of Listing Agreement	Compliance Status Yes/No	Remarks
<b>I Board of Directors</b>	<b>49 (I)</b>		
(A) Composition of the Board	49(IA)	Yes	
(B) Non executive Directors' Compensation and Disclosures	49(IB)	Yes	
( C ) Other provisions as to Board and committees	49(IC)	Yes	
(D) Code of Conduct	49(ID)	Yes	
<b>II Audit Committee</b>	<b>49 (II)</b>		
(A) Qualified and Independent Audit Committee	49 (IIA)	Yes	
(B) Meetings of the Audit Committee	49 (IIB)	Yes	
(C) Powers of the Audit Committee	49 (IIC)	Yes	
(D) Role of the Audit Committee	49 (IID)	Yes	
(E) Review of information by Audit Committee	49 (IIE)	Yes	
<b>III Subsidiary Companies</b>	<b>( 49 III)</b>	Yes	



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<b>IV Disclosures</b>	<b>( 49 IV)</b>		
(A)Basis of related party Transactions	49 (IVA)	Yes	
(B) Disclosure of Accounting Treatment	49 (IVB)	-	Not Applicable
(C ) Board Disclosures	49 (IVC)	Yes	
(D) Proceeds from public issues, rights issues, preferential issues etc.	49 (IVD)	-	Not Applicable
(E) Remuneration of Directors	49 (IVE)	-	Forms part of the Annual Report for the year 2013-14.
(F) Management	49 (IVF)	Yes	Forms part of the Annual Report for the year 2013-14.
(G) Shareholders	49 (IVG)	Yes	Forms part of the Annual Report for the year 2013-14.
<b>V CEO/CFO Certification</b>	<b>49 (V)</b>	Yes	Complied with for the year 2013-14
<b>VI Report on Corporate Governance</b>	<b>49 (VI)</b>	Yes	Forms part of the Annual Report for the year 2013-14
<b>VII Compliance</b>	<b>49 (VII)</b>	Yes	Forms part of the Annual Report for the year 2013-14

Thanking You,

Yours faithfully,  
**For Summit Securities Limited**

*SR Joshi*



**Shruti Joshi**  
**Manager & Company Secretary**