

SUMMIT SECURITIES LIMITED

Corporate Identification Number: L65921MH1997PLC194571

Registered Office: 213, Bezzola Complex, B Wing, 71, Sion-Trombay Road, Chembur, Mumbai - 400071

Tel No.: +91-22-25292152/54/55 Fax No: +91-22-25297423

Website : www.summitsecurities.net Email : summitsec@gmail.com

Format of holding of specified securities pursuant to SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

- 1 **Name of Listed Entity:** : SUMMIT SECURITIES LIMITED
- 2 **Scrip Code/Name of Scrip/Class of Security** : 533306/SUMMIT SECURITIES LIMITED/EQUITY
- 3 **Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)** : Reg. 31(1)(b)
- a. **If under 31(1)(b) then indicate the report for Quarter ending** : DECEMBER 31, 2015
- b. **If under 31(1)(c) then indicate date of allotment/extinguishment** : N.A.
- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

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Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of share-holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
								(IX)						Total as a % of (A+B+C)	(XII)		(XIII)				
								No of Voting Rights							No.	As a % of total Shares held			No.	As a % of total Shares held	
								Class eg: X	Class eg: y	Total						(a)	(b)			(a)	(b)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)			
(A)	Promoter & Promoter Group	11	7898619	0	0	7898619	72.45	7898619	0	7898619	72.62	0	72.45	0	0.00	0	0.00	7898619			
(B)	Public	66605	3003162	0	0	3003162	27.55	2977567	0	2977567	27.38	0	27.55	0	0.00	NA		2794340			
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0			
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0			
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0			
	Total	66616	10901781	0	0	10901781	100.00	10876186	0.00	10876186	100.00	0	100.00	0	0.00	0	0.00	10692959			

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders	No. of share holder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
								(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		
								No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares held	No.	As a % of total shares held
								Class X	Class Y	Total										
(1)	Indian																			
(a)	Individuals/Hindu undivided Family	4	3682	0	0	3682	0.03	3682	0	3682	0.03	0	0	0	0	0	0	3682		
i	Harsh Vardhan Goenka	1	653	0	0	653	0.01	653	0	653	0.01	0	0.00	0	0.00	0	0.00	653		
ii	Harsh Vardhan Goenka	1	1500	0	0	1500	0.01	1500	0	1500	0.01	0	0.00	0	0.00	0	0.00	1500		
iii	Anant Vardhan Goenka	1	1318	0	0	1318	0.01	1318	0	1318	0.00	0	0.00	0	0.00	0	0.00	1318		
iv	Rama Prasad Goenka	1	211	0	0	211	0.00	211	0	211	0.00	0	0.00	0	0.00	0	0.00	211		
(b)	Central Government/ State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(c)	Financial Institutions/ Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(d)	Any Other (specify)	7	7894937	0	0	7894937	72.42	7894937	0	7894937	72.59	0	0.00	0	0.00	0	0.00	7894937		
(d-1)	Bodies Corporate																			
i	Swallow Associates Llp	1	6932421	0	0	6932421	63.59	6932421	0	6932421	63.74	0	0.00	0	0.00	0	0.00	6932421		
ii	Carniwal Investments Ltd	1	354159	0	0	354159	3.25	354159	0	354159	3.26	0	0.00	0	0.00	0	0.00	354159		
iii	Rpg Cellular Investments And Holdings Pvt Ltd *	1	290799	0	0	290799	2.67	290799	0	290799	2.67	0	0.00	0	0.00	0	0.00	290799		
iv	Stel Holdings Limited	1	69815	0	0	69815	0.64	69815	0	69815	0.64	0	0.00	0	0.00	0	0.00	69815		
v	Jubilee Investments & Industries Ltd**	1	2285	0	0	2285	0.02	2285	0	2285	0.02	0	0.00	0	0.00	0	0.00	2285		
(d-2)	Trust																			
i	Suresh Mathew, Trustee of RIFL Benefit Trust #	1	245455	0	0	245455	2.25	245455	0	245455	2.26	0	0.00	0	0.00	0	0.00	245455		
ii	Harsh Vardhan Goenka, Trustee of Monitor Portfolio Trust. ##	1	3	0	0	3	0.00	3	0	3	0.00	0	0.00	0	0.00	0	0.00	3		
	Sub-Total (A)(1)	11	7898619	0	0	7898619	72.45	7898619	0	7898619	72.62	0	0.00	0	0.00	0	0.00	7898619		
(2)	Foreign																			
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(e)	Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
	Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	11	7898619	0	0	7898619	72.45	7898619	0	7898619	72.62	0	0.00	0	0.00	0	0.00	7898619		

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.
As 25595 shares are lying in the Unclaimed Securities Suspense account as on 31.12.2015 out of the 25673 shares transferred therein, and these shares do not carry voting rights as per Clause 5A of the Listing Agreement, the voting rights have been calculated on the reduced capital.

Note:

* RPG Cellular Investments & Holdings Pvt. Ltd. merged with Swallow Associates Limited (SAL) pursuant to the Scheme of Amalgamation and Arrangement between SAL and Blue Niles Holdings Limited, South Asia Electricity Holdings Limited, Kestral Investments Limited, Petrochem International Limited and RCIHPL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and is known as Swallow Associates LLP

** Jubilee Investments & Industries Limited merged with RPG Cellular Investments and Holdings Private Limited (RCIHPL) pursuant to the Scheme of Amalgamation between RPG Communication & Holdings Private Limited, KTL Industrial Finance Company Limited, Kocilim Breweries Private Limited, Yield Investments Private Limited and Jubilee Investments & Industries Limited with RCIHPL duly sanctioned by the Honorable High Court of Calcutta on June 11, 2009. RCIHPL merged with Swallow Associates Limited (SAL) pursuant to the Scheme of Amalgamation and Arrangement between SAL and Blue Niles Holdings Limited, South Asia Electricity Holdings Limited, Kestral Investments Limited, Petrochem International Limited and RCIHPL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and is known as Swallow Associates LLP

Mr. Suresh Mathew is holding shares as a Trustee of RIFL Benefit Trust.

Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Monitor Portfolio Trust.

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Table III - Statement showing shareholding pattern of the Public shareholder

No.	Category & Name of the Shareholders	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
								(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		
								No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares	No. (not applic	As a % of total
								Class X	Class Y	Total										
(I)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)	(IX)	(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)	(XIII)	(XIV)								
(1)	Institutions																			
(a)	Mutual Funds/UTI	21	85897	0	0	85897	0.79	85897	0	85897	0.79	0	0.79	0	0.00	NA	85528			
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0			
(c)	Alternate Investment Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0			
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0			
(e)	Foreign Portfolio Investors	31	958	0	0	958	0.01	958	0	958	0.01	0	0.01	0	0.00	NA	7			
(f)	Financial Institutions/ Banks	57	899	0	0	899	0.01	899	0	899	0.01	0	0.01	0	0.00	NA	461			
(g)	Insurance Companies	8	377295	0	0	377295	3.46	377295	0	377295	3.47	0	3.46	0	0.00	NA	377290			
(g-1)	Life Insurance Corporation Of India	3	297152	0	0	297152	2.73	297152	0	297152	2.73	0	2.73	0	0.00	NA	297147			
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0			
(i)	Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0			
	Sub-Total (B)(1)	117	465049	0	0	465049	4.27	465049	0	465049	4.28	0	4.27	0	0.00	NA	463286			
(2)	Central Government/ State Government(s)/ President of India	2	538	0	0	538	0.00	538	0	538	0.00	0	0.00	0	0.00	NA	0			
	Sub-Total (B)(2)	2	538	0	0	538	0.00	538	0	538	0.00	0	0.00	0	0.00	NA	0			
(3)	Non-institutions																			
(a)	Individuals -																			
i.	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	65635	1398777	0	0	1398777	12.83	1398777	0	1398777	12.86	0	12.83	0	0.00	NA	1293603			
ii.	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	8	425908	0	0	425908	3.91	425908	0	425908	3.92	0	3.91	0	0.00	NA	425908			
i	Muckatira Bhemaiah Chinappa	2	187219	0	0	187219	1.72	187219	0	187219	1.72	0	1.72	0	0.00	NA	187219			
(b)	NBFCs registered with RBI	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0			
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0			
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0			
(e)	Any Other (specify)	843	712890	0	0	712890	6.54	687295	0	687295	6.32	0	6.54	0	0.00	NA	611543			
i	Unclaimed Securities Suspense Account	1	25595	0	0	25595	0.23	0	0	0	0.00	0	0.23	0	0.00	NA	25595			
ii	Trusts	12	245	0	0	245	0.00	245	0	245	0.00	0	0.00	0	0.00	NA	245			
iii	Foreign Companies	4	99122	0	0	99122	0.91	99122	0	99122	0.91	0	0.91	0	0.00	NA	0			
iv	Directors & Relatives	2	101	0	0	101	0.00	101	0	101	0.00	0	0.00	0	0.00	NA	0			
v	Clearing Members	181	57160	0	0	57160	0.52	57160	0	57160	0.53	0	0.52	0	0.00	NA	57160			
vi	Bodies Corporate	641	529057	0	0	529057	4.85	529057	0	529057	4.86	0	4.85	0	0.00	NA	526933			
(vi-1)	Vls Finance Ltd	1	193490	0	0	193490	1.77	193490	0	193490	1.78	0	1.77	0	0.00	NA	193490			
(vi-2)	Antriksh Vyapaar Limited	1	156500	0	0	156500	1.44	156500	0	156500	1.44	0	1.44	0	0.00	NA	156500			
vii	Limited Liability Partnership - LLP	2	1610	0	0	1610	0.01	1610	0	1610	0.01	0	0.01	0	0.00	NA	1610			
	Sub-Total (B)(3)	66486	2537575	0	0	2537575	23.28	2511980	0	2511980	23.10	0	23.28	0	0.00	NA	2331054			
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	66605	3003162	0	0	3003162	27.55	2977567	0	2977567	27.38	0	27.55	0	0.00	NA	2794340			

Details of the shareholders acting as persons in Concert including their Sharehold NIL

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.
As 25595 shares are lying in the Unclaimed Securities Suspense account as on 31.12.2015 out of the 25673 shares transferred therein, and these shares do not carry voting rights as per Clause 5A of the Listing Agreement, the voting rights have been calculated on the reduced capital.

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
																				(IX)		(X)
									As a % of (A+B+C 2) (VIII)	No of Voting Rights					Total as a % of Total Voting rights	(VII)+(X) as a % of A+B+C2	No.	As a % of total Shares held		No. (not applicable)	As a % of total shares held (Not applicable)	(Not Applicable)
										Class X	Class Y	Total										
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0				
(a)	Name of DR Holder (if available)																					
(i)	abc,...																NA					
(ii)	efg,...																NA					
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																					
(a)	Name (abc...		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0	0				
	Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			NA	0				