

| General information about company | |
|--|---------------------------|
| Scrip code | 533306 |
| NSE Symbol | SUMMITSEC |
| MSEI Symbol | NOTLISTED |
| ISIN | INE519C01017 |
| Name of the entity | Summit Securities Limited |
| Date of start of financial year | 01-04-2024 |
| Date of end of financial year | 31-03-2025 |
| Reporting Quarter | Half Yearly |
| Date of Report | 30-09-2024 |
| Risk management committee | Applicable |
| Market Capitalisation as per immediate previous Financial Year | Top 2000 listed entities |

| Annexure I | | | | | | | | |
|--|-----------------|-------------------------|------------|----------|--|-------------------------|-------------------------|---------------|
| Annexure I to be submitted by listed entity on quarterly basis | | | | | | | | |
| I. Composition of Board of Directors | | | | | | | | |
| Disclosure of notes on composition of board of directors explanatory | | | | | | | Textual Information(1) | |
| Whether the listed entity has a Regular Chairperson | | | | | | | Yes | |
| Whether Chairperson is related to MD or CEO | | | | | | | No | |
| Sr | Title (Mr / Ms) | Name of the Director | PAN | DIN | Category 1 of directors | Category 2 of directors | Category 3 of directors | Date of Birth |
| 1 | Mr | Ramesh Chandak | ACVPC9915H | 00026581 | Non-Executive - Independent Director | Chairperson | | 07-11-1946 |
| 2 | Mr | H. N. Singh Rajpoot | ACKPS8041H | 00080836 | Non-Executive - Non Independent Director | Not Applicable | | 03-04-1957 |
| 3 | Mr | H. C. Dalal | AAAPD2633G | 00206232 | Non-Executive - Independent Director | Not Applicable | | 11-04-1946 |
| 4 | Mr | Prem Kapil | AFMPK0391P | 06921601 | Non-Executive - Independent Director | Not Applicable | | 08-02-1947 |
| 5 | Mr | Abhay Nerurkar | AAAPN1000H | 00045309 | Non-Executive - Independent Director | Not Applicable | | 01-06-1954 |
| 6 | Mrs | Shweta Musale | AVLPM1621M | 03280429 | Non-Executive - Independent Director | Not Applicable | | 16-09-1987 |
| 7 | Mr | Manish Jain | AAWPJ4184G | 05307900 | Non-Executive - Non Independent Director | Not Applicable | | 18-07-1973 |
| 8 | Mr | Rohin Feroze Bomanji | AAAPB6308A | 06971089 | Non-Executive - Independent Director | Not Applicable | | 11-01-1961 |
| 9 | Mr | Sunil Kamalakar Tamhane | AAFPT7617K | 03179129 | Non-Executive - Independent Director | Not Applicable | | 03-04-1948 |

| I. Composition of Board of Directors | | | | | |
|--|---------------------------------------|--------------------------------|------------------------------|-----------------------------|----------------|
| Disqualification of Directors under section 164 of the Companies Act, 2013 | | | | | |
| Sr | Whether the director is disqualified? | Start Date of disqualification | End Date of disqualification | Details of disqualification | Current status |
| 1 | No | | | | Active |
| 2 | No | | | | Active |
| 3 | No | | | | Active |
| 4 | No | | | | Active |
| 5 | No | | | | Active |
| 6 | No | | | | Active |
| 7 | No | | | | Active |
| 8 | No | | | | Active |
| 9 | No | | | | Active |

| I. Composition of Board of Directors | | | | | | | | | | | | | |
|--------------------------------------|---|------------------------------------|-----------------------------|------------------------|-------------------|--------------------------------|--|--|---|--|----------------------|-----------------------------|-----------------------------|
| Sr | Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations] | Date of passing special resolution | Initial Date of appointment | Date of Re-appointment | Date of cessation | Tenure of director (in months) | No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations) | No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)] | Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations) | No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations) | Reason for Cessation | Notes for not providing PAN | Notes for not providing DIN |
| 1 | Yes | 25-09-2019 | 27-09-2019 | 27-09-2024 | | 60.04 | 5 | 5 | 6 | 3 | | | |
| 2 | NA | | 11-02-2010 | 22-08-2024 | | | 3 | 1 | 5 | 3 | | | |
| 3 | Yes | 25-09-2019 | 27-09-2014 | 27-09-2019 | 27-09-2024 | 120 | 1 | 1 | 1 | 0 | Tenure Completion | | |
| 4 | Yes | 25-09-2019 | 27-09-2014 | 27-09-2019 | 27-09-2024 | 120 | 1 | 1 | 1 | 0 | Tenure Completion | | |
| 5 | NA | | 29-10-2015 | 29-10-2020 | | 107.02 | 1 | 1 | 1 | 1 | | | |
| 6 | NA | | 10-11-2021 | 10-11-2021 | | 34.21 | 2 | 2 | 4 | 0 | | | |
| 7 | NA | | 30-06-2022 | 08-09-2023 | | | 1 | 0 | 1 | 0 | | | |
| 8 | NA | | 01-08-2024 | 01-08-2024 | | 2 | 3 | 3 | 5 | 1 | | | |
| 9 | Yes | 22-08-2024 | 16-09-2024 | 16-09-2024 | | 0.15 | 2 | 2 | 2 | 0 | | | |

| Text Block | |
|------------------------|---|
| Textual Information(1) | <p>Composition of Board</p> <p>1. Date of re-appointment for second term of 5 consecutive years for the following Independent Directors is as under:</p> <p>Mr. Abhay Nerurkar: 29/10/2020</p> <p>Mr. Ramesh Chandak : 27/09/2024</p> <p>2. Based on the guidelines on Corporate Governance issued by NSE, received vide email dated October 11, 2019:</p> <p>a. The Initial Date of appointment of Mr. Ramesh Chandak is taken as the date of his appointment as an Independent Director of the Company for a term of 5 consecutive years i.e., w.e.f. 27/09/2019. Further Mr. Chandak has been re-appointed as an Independent Director of the Company for a second term of five (5) consecutive years with effect from September 27, 2024.</p> <p>Accordingly, the Tenure of Mr. Chandak is taken as 60.04 months from the date of his first appointment as an Independent Director of the Company.</p> <p>b. The Date of Initial Appointment of Mr. H. C. Dalal and Mr. Prem Kapil is the date of their appointment as Independent Directors of the Company i.e., 27/09/2014. Accordingly, their tenure is taken from the date of their Initial Appointment as Independent Directors until the date of completion of their tenure i.e., September 27, 2024.</p> <p>c. Mr. Manish Jain was appointed as a Non-executive Director w.e.f. June 30, 2022.</p> <p>3. Date of re-appointment mentioned in case of Non-Executive, Non-Independent Directors is the date of the Annual General meeting in which the Directors liable to retire by rotation were last re-appointed.</p> <p>4. Number of Membership of Committees include Chairmanships of Committees as well.</p> |

| | |
|--|------------------------|
| Annexure 1 | |
| II. Composition of Committees | |
| Disclosure of notes on composition of committees explanatory | Textual Information(1) |

| Annexure 1 Text Block | |
|------------------------|--|
| Textual Information(1) | <p>1. Composition and details of committees excludes the following non mandatory committees constituted by the Company:</p> <p>a) Asset Liability Management Committee</p> <p>b) IT Strategy Committee.</p> <p>2. During the quarter ended September 2024, Audit Committee, Stakeholders Relationship Committee, Nomination and Remuneration Committee and Risk Management Committee have been reconstituted w.e.f. September 16, 2024</p> |

| Audit Committee Details | | | | | | | |
|---|------------|---------------------------|--|-------------------------|---------------------|-------------------|---------|
| Whether the Audit Committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 00045309 | Abhay Nerurkar | Non-Executive - Independent Director | Chairperson | 01-11-2015 | | |
| 2 | 00080836 | H. N. Singh Rajpoot | Non-Executive - Non Independent Director | Member | 11-02-2010 | | |
| 3 | 00206232 | H. C. Dalal | Non-Executive - Independent Director | Member | 01-10-2013 | 15-09-2024 | |
| 4 | 03280429 | Shweta Musale | Non-Executive - Independent Director | Member | 15-11-2021 | | |
| 5 | 03179129 | Sunil Kamalakar Tamhane | Non-Executive - Independent Director | Member | 16-09-2024 | | |

| Nomination and remuneration committee | | | | | | | |
|---|------------|---------------------------|--|-------------------------|---------------------|-------------------|------------------------|
| Whether the Nomination and remuneration committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 06921601 | Prem Kapil | Non-Executive - Independent Director | Chairperson | 02-02-2015 | 15-09-2024 | Textual Information(1) |
| 2 | 00080836 | H. N. Singh Rajpoot | Non-Executive - Non Independent Director | Member | 11-02-2010 | | |
| 3 | 00206232 | H. C. Dalal | Non-Executive - Independent Director | Member | 01-10-2013 | 15-09-2024 | |
| 4 | 00045309 | Abhay Nerurkar | Non-Executive - Independent Director | Member | 01-11-2015 | | |
| 5 | 03179129 | Sunil Kamalakar Tamhane | Non-Executive - Independent Director | Member | 16-09-2024 | | |
| 6 | 06971089 | Rohin Feroze Bomanji | Non-Executive - Independent Director | Chairperson | 16-09-2024 | | |

| Sr Text Block | |
|------------------------|---|
| Textual Information(1) | As per the NSE Guidance Note received via email dated 11/10/2019 and NSE Circular dated April 10, 2023, the date of appointment mentioned for Mr. Prem Kapil is the date on which he was appointed as the Member of Nomination and Remuneration Committee. Further, the date of appointment of Mr. Prem Kapil as a Chairman of Nomination and Remuneration Committee is 01/11/2015. |

| Stakeholders Relationship Committee | | | | | | | |
|---|------------|---------------------------|--|-------------------------|---------------------|-------------------|---------|
| Whether the Stakeholders Relationship Committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 00080836 | H. N. Singh Rajpoot | Non-Executive - Non Independent Director | Chairperson | 11-02-2010 | | |
| 2 | 05307900 | Manish Jain | Non-Executive - Non Independent Director | Member | 30-06-2022 | | |
| 3 | 03280429 | Shweta Musale | Non-Executive - Independent Director | Member | 15-11-2021 | | |
| 4 | 06971089 | Rohin Feroze Bomanji | Non-Executive - Independent Director | Member | 16-09-2024 | | |

| Risk Management Committee | | | | | | | |
|---|------------|---------------------------|--|-------------------------|---------------------|-------------------|------------------------|
| Whether the Risk Management Committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 00080836 | H. N. Singh Rajpoot | Non-Executive - Non Independent Director | Chairperson | 11-02-2010 | | |
| 2 | 00045309 | Abhay Nerurkar | Non-Executive - Independent Director | Member | 01-11-2015 | | |
| 3 | 06921601 | Prem Kapil | Non-Executive - Independent Director | Member | 14-02-2020 | 15-09-2024 | |
| 4 | 05307900 | Manish Jain | Non-Executive - Non Independent Director | Member | 30-06-2022 | | |
| 5 | 06971089 | Rohin Feroze Bomanji | Non-Executive - Independent Director | Member | 16-09-2024 | | |
| 6 | 99999999 | Arvind Dhumal | Manager | Member | 24-05-2022 | | Textual Information(1) |
| 7 | 99999999 | Anand Rathi | Chief Financial Officer | Member | 01-03-2024 | | Textual Information(2) |

| Sr Text Block | |
|------------------------|--|
| Textual Information(1) | Mr. Arvind Dhumal, Manager of the Company has been appointed as Member of Risk Management Committee w.e.f. May 24, 2022 |
| Textual Information(2) | Mr. Anand Rathi, Chief Financial Officer of the Company has been appointed as Member of Risk Management Committee w.e.f. March 01, 2024. |

| Corporate Social Responsibility Committee | | | | | | | |
|---|------------|---------------------------|-------------------------|-------------------------|---------------------|-------------------|---------|
| Whether the Corporate Social Responsibility Committee has a Regular Chairperson | | | | | | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |

| Other Committee | | | | | | |
|-----------------|------------|---------------------------|-------------------------|-------------------------|-------------------------|---------|
| Sr | DIN Number | Name of Committee members | Name of other committee | Category 1 of directors | Category 2 of directors | Remarks |

| Annexure 1 | | | | | | | | |
|--|---|--|---|------------------------------|--|---|---|---|
| Annexure 1 | | | | | | | | |
| III. Meeting of Board of Directors | | | | | | | | |
| Disclosure of notes on meeting of board of directors explanatory | | | | | | | | |
| Sr | Date(s) of meeting (if any) in the previous quarter | Date(s) of meeting (if any) in the current quarter | Maximum gap between any two consecutive (in number of days) | Notes for not providing Date | Whether requirement of Quorum met (Yes/No) | Total Number of Directors as on date of the meeting | Number of Directors present* (All directors including Independent Director) | No. of Independent Directors attending the meeting* |
| 1 | 16-05-2024 | | | | Yes | 7 | 7 | 5 |
| 2 | | 19-07-2024 | 63 | | Yes | 7 | 7 | 5 |

| Annexure 1 | | | | | | | | | | |
|--|---|---|---|-------------------------------|--|--|---|--|--|--|
| IV. Meeting of Committees | | | | | | | | | | |
| Disclosure of notes on meeting of committees explanatory | | | | | | | Total Number of Directors in the Committee as on date of the meeting | Number of Directors Present (All Directors including Independent Director) | No. of Independent Directors attending the meeting* | No. of members attending the meeting (other than Board of Directors) |
| Sr | Name of Committee | Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order) | Maximum gap between any two consecutive (in number of days) | Name of other committee | Reason for not providing date | Whether requirement of Quorum met (Yes/No) | | | | |
| 1 | Audit Committee | 16-05-2024 | | | | Yes | 4 | 4 | 3 | 0 |
| 2 | Audit Committee | 19-07-2024 | 63 | | | Yes | 4 | 4 | 3 | 0 |
| 3 | Stakeholders Relationship Committee | 16-05-2024 | | | | Yes | 3 | 3 | 1 | 0 |
| 4 | Stakeholders Relationship Committee | 19-07-2024 | 63 | | | Yes | 3 | 3 | 1 | 0 |

| Annexure 1 | | | |
|-------------------------------|--|----------------------------------|--|
| V. Related Party Transactions | | | |
| Sr | Subject | Compliance status (Yes/No/NA) | If status is “No” details of non-compliance may be given here. |
| 1 | Whether prior approval of audit committee obtained | Yes | |
| 2 | Whether shareholder approval obtained for material RPT | NA | |
| 3 | Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee | Yes | |

Annexure 1**VI. Affirmations**

| Sr | Subject | Compliance status (Yes/No) |
|----|---|----------------------------|
| 1 | The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 | Yes |
| 2 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee | Yes |
| 3 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee | Yes |
| 4 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee | Yes |
| 5 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities) | Yes |
| 6 | The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. | Yes |
| 7 | The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. | Yes |
| 8 | This report and/or the report submitted in the previous quarter has been placed before Board of Directors. | Yes |

| Annexure 1 | | |
|------------|-------------------|--|
| Sr | Subject | Compliance status |
| 1 | Name of signatory | Jiya Gangwani |
| 2 | Designation | Company Secretary and Compliance Officer |

Annexure III

III. Affirmations

| Sr | Particulars | Regulation Number | Compliance status (Yes/No/NA) | If status is "No" details of non-compliance may be given here. |
|----|--|--------------------------------------|-------------------------------|--|
| 1 | Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website | 46(2) | Yes | |
| 2 | Presence of Chairperson of Audit Committee at the Annual General Meeting | 18(1)(d) | Yes | |
| 3 | Presence of Chairperson of the nomination and remuneration committee at the annual general meeting | 19(3) | Yes | |
| 4 | Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting | 20(3) | Yes | |
| 5 | Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report | 24A(1) | Yes | |
| 6 | Submission of Annual Secretarial Compliance Report | 24A(2) | Yes | |
| 7 | Whether "Corporate Governance Report" disclosed in Annual Report | 34(3) read with para C of Schedule V | Yes | |
| 6 | | | | |

| Annexure III | | |
|--------------|-------------------|--|
| 1 | Name of signatory | Jiya Gangwani |
| 2 | Designation | Company Secretary and Compliance Officer |

| Additional Half yearly Disclosure | | | |
|---|---|--|--|
| Applicability of disclosure | | Applicable | |
| Reason for Non Applicability | | Textual Information(1) | |
| I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below | | | |
| (A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to | | | |
| Entity | Aggregate amount advanced during six months | Balance outstanding at the end of six months | |
| Promoter or any other entity controlled by them | 0 | 0 | |
| Promoter Group or any other entity controlled by them | 0 | 0 | |
| Directors (including relatives) or any other entity controlled by them | 0 | 0 | |
| KMPs or any other entity controlled by them | 0 | 0 | |
| (B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By | | | |
| Entity | Type (guarantee, comfort letter etc.) | Aggregate amount of issuance during six months | Balance outstanding at the end of six months(taking into account any invocation) |
| Promoter or any other entity controlled by them | 0 | 0 | 0 |
| Promoter Group or any other entity controlled by them | 0 | 0 | 0 |
| Directors (including relatives) or any other entity controlled by them | 0 | 0 | 0 |
| KMPs or any other entity controlled by them | 0 | 0 | 0 |
| (C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by | | | |
| Entity | Type of security (cash, shares etc.) | Aggregate value of security provided during six months | Balance outstanding at the end of six months |
| Promoter or any other entity controlled by them | 0 | 0 | 0 |
| Promoter Group or any other entity controlled by them | 0 | 0 | 0 |
| Directors (including relatives) or any other entity controlled by them | 0 | 0 | 0 |
| KMPs or any other entity controlled by them | 0 | 0 | 0 |
| (D) Additional Information | | | Textual Information(2) |
| II. Affirmations | | | |
| Affirmations | | Compliance Status | Company Remarks |
| All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company. | | Yes | Textual Information(3) |
| Name | Anand Rathi | | |
| Designation | CFO | | |
| Place | Mumbai | | |
| Date | 18-10-2024 | | |

| Details of Cyber security incidence | | |
|--|-------------------|----------------------------|
| Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter | | No |
| Number of cyber security incidence or breaches or loss of data event occurred during the quarter | | |
| Sr. | Date of the event | Brief details of the event |

| Signatory Details | |
|-----------------------|--|
| Name of signatory | Jiya Gangwani |
| Designation of person | Company Secretary and Compliance Officer |
| Place | Mumbai |
| Date | 18-10-2024 |

